

**Air Pollution Control Board**

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**Air Pollution Control District**

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October 27, 1999

TO: Rule 69.4.1 Workshop Participants and  
Other Interested Parties

FROM: Richard J. Smith  
Assistant Director

### WORKSHOP REPORT

Attached for your review is the report for the workshop on proposed new Rule 69.4.1 (Stationary Internal Combustion Reciprocating Engines - Best Available Control Retrofit Technology) which was conducted on April 29, 1999. The District is presently revising the rule in response to the workshop comments. In addition, a Rule 69.4.1 workgroup consisting of industry and District representatives is working on issues related to emission standards, exemptions, and administrative requirements that were raised during and after the workshop.

The District is planning to conduct a second workshop on Rule 69.4.1 in the near future. The revised draft of the rule will be provided to you prior to the workshop.

If you have any questions, please call Camqui Nguyen at (858) 694-3316, Natalie Zlotin at (858) 694-3312, or me at (858) 694-3303.

RICHARD J. SMITH  
Assistant Director

Attachment

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# **AIR POLLUTION CONTROL DISTRICT SAN DIEGO COUNTY**

## **RULE 69.4.1 STATIONARY RECIPROCATING INTERNAL COMBUSTION ENGINES - BEST AVAILABLE RETROFIT CONTROL TECHNOLOGY (BARCT)**

### **WORKSHOP REPORT**

A workshop notice was mailed to all known owners and operators of stationary reciprocating internal combustion (IC) engines in San Diego County. Notices were also mailed to all Economic Development Corporations and Chambers of Commerce in San Diego County, the U.S. Environmental Protection Agency (EPA), the California Air Resources Board (ARB), and other interested parties. The workshop was held on April 29, 1999. Oral and written comments were received during and after the workshop from the affected businesses and ARB. The comments and District responses are as follows:

#### **1. WRITTEN COMMENT**

The procurement process for stationary diesel engines can take many months and sometimes years to complete. Many engines ordered today or specified for bid months ago will not be installed until after Rule 69.4.1 is adopted. For the engines that are already in the procurement process, and do not comply with the proposed emission standards, the District should either delay the rule implementation dates or allow the installation of non-complying engines provided it is demonstrated that the procurement process was initiated before the rule adoption.

#### **DISTRICT RESPONSE**

The proposed emission standards for diesel engines in Rule 69.4.1 have been revised to incorporate the EPA Tier 1 New Emission Standards for Nonroad Diesel Engines adopted in April 1994 as an alternative to compliance by installing add-on control equipment. This regulation required all diesel engines manufactured after January 1, 1996, to comply with the oxides of nitrogen (NOx) emission standard of 6.9 grams per brake horse power-hour (g/bhp-hr). The implementation date for engines rated at 750 bhp and below was January 1, 1998. For engines larger than 750 bhp the implementation date is January 1, 2000. The proposed rule compliance dates have been revised to provide enough lead time to procure and install combustion modifications or add-on control equipment for existing engines, or replace them with complying engines. New engines that are currently in procurement should be certified and should comply with the proposed standards.

#### **2. WRITTEN COMMENT**

It may be desirable to use ARB Test Method 100 which requires less calibration gases and allows for quality data to be obtained in less time.

#### **DISTRICT RESPONSE**

Section (h) has been revised to allow using ARB Test Method 100 as an alternative to District Test Method 100.

**3. WRITTEN COMMENT**

Test methods used to certify compliance with EPA off-road engine standards are significantly different from traditional field tests used for compliance purposes. Some efforts will be needed to determine the best method of conducting source tests in a manner that reflects the EPA certification process.

**DISTRICT RESPONSE**

The District agrees. ARB is presently developing a field compliance test method for portable diesel engines which would be compatible with both the EPA and ARB test procedures used for certification. In the meantime, the District will accept EPA or ARB certification data as a surrogate for a field compliance test for new or replacement diesel engines. Rule 69.4.1 has been revised to reflect this.

**4. WRITTEN COMMENT**

It is requested that the District consider a categorical exemption for diesel powered cranes from emission control requirements of the proposed Rule 69.4.1. This request is based on data that show that NOx emissions from the diesel engines used to power gantry cranes are quite small. In addition, cost evaluation of the possible control options demonstrates that controlling NOx emissions from diesel crane engines is not cost-effective.

**DISTRICT RESPONSE**

The District agrees that existing cyclic engines should not be subject to the same emission standards as high-use diesel engines. Therefore, proposed Rule 69.4.1 requires them to comply with less stringent NOx emission standards (700 ppm at 15% oxygen). District test data show that these engines are capable of meeting the proposed standards without additional emission controls, provided the engines are properly maintained. However, proposed Rule 69.4.1 does require that new and replacement low-use or cyclic engines meet the same NOx emission limit as high-use engines.

**5. WRITTEN COMMENT**

As proposed, Rule 69.4.1 is applicable to new emergency generators that are eligible for registration pursuant to District Rule 12 and therefore are exempt from New Source Review (NSR). This will essentially make the proposed rule that represents the BARCT as stringent as the Best Available Control Technology (BACT) requirements of the NSR which applies only to new and modified sources. Based on the definition in the Health and Safety Code, BARCT requirements should be less stringent than BACT because they apply to existing equipment.

**DISTRICT RESPONSE**

The District disagrees. The BARCT definition in the Health and Safety Code does not address the stringency of BARCT in comparison to BACT. It simply states that BARCT "means an emission limitation that is based on the maximum degree of reduction achievable, taking into account environmental, energy, and economic impacts by each class or category of source." In fact, in many

cases BACT and BARCT levels of control are the same. For example, the BACT emission rate limit for new or modified industrial and commercial boilers less than 50 MM BTU is 30 ppm of NO<sub>x</sub> at 3% oxygen (see the District's NSR BACT Guidance Document, p. 3-3, 1998). This limit is the same as the NO<sub>x</sub> emission standard of Rule 69.2 (Industrial and Commercial Boilers, Process Heaters and Steam Generators) which represents BARCT. Also, for coating operations that use volatile organic compound (VOC) containing materials, District rules representing BARCT requirements are often also considered BACT for small sources where add-on control technology is not cost effective.

**6. WRITTEN COMMENT**

The proposed rule creates an undue burden on the owners of emergency generators because it will subject them to the testing and recordkeeping requirements of Subsections (g) and (e). It is suggested that both new and existing emergency generators and engines operating less than 200 hours per year be altogether exempt from Rule 69.4.1 requirements.

**DISTRICT RESPONSE**

The District agrees that new or replacement engines that are subject to the exemptions specified in Subsections (b)(2)(i) and (ii) should also be exempt from the majority of administrative requirements. Rule 69.4.1 has been revised to delete all monitoring and source test requirements for these engines except for the requirement of Subsection (e)(3) to install a non-resettable fuel meter or elapsed operating time meter. Also, the recordkeeping requirements of Subsections (g)(1) and (g)(2) are still applicable to emergency generators and other engines exempt under this Subsection because these records are necessary for determining compliance with the rule.

**7. WRITTEN COMMENT**

Rule 69.4.1 defines a "low-use engine" as an engine with a capacity factor of 15% . It is not clear why this capacity factor was chosen. Based on available data, it is recommended that a capacity factor of 20% be used to define "low-use engine."

**DISTRICT RESPONSE**

The 15% capacity factor is the operating level at which NO<sub>x</sub> emission control measures such as Selective Catalytic Reduction (SCR) or engine replacement become cost effective for diesel engines of all sizes. ARB has proposed a definition of "low fuel consumption" diesel engines as engines consuming less than 25,000 gallons of diesel fuel per year. This fuel consumption corresponds to the amount of fuel used by a 500 bhp engine operating between 900 and 1,000 hours per year, or approximately a 10-11% capacity factor.

**8. WRITTEN COMMENT**

The NO<sub>x</sub> emission standards for cyclic engines should be based on an integrated average using the time frame of the most recent source test conducted by the District. This information should be conveyed in Subsection (d)(1)(i).

**DISTRICT RESPONSE**

District Test Method 100 already allows variation in the source test duration for specific applications with written District approval. This will accommodate testing of cyclic engines where emissions may be averaged over a longer test period.

**9. WRITTEN COMMENT**

In Subsections (d)(1)(ii)(2) through (4) emission standards are indicated for carbon monoxide (CO) and VOCs. It is believed that the intent of Rule 69.4.1 is to control NO<sub>x</sub> emissions. If there is a concern about VOC emissions resulting from certain control technologies the rule should specifically address that issue. All IC engines should not be subject to VOC emission standards and should not require source testing.

**DISTRICT RESPONSE**

Several common NO<sub>x</sub> emission reduction techniques result in increases in CO and VOC (ozone precursor) emissions. The VOC and CO emission standards in the proposed rule will ensure that NO<sub>x</sub> reduction measures do not result in excessive emissions of other criteria pollutants. Other District rules regulating combustion sources such as Rule 69.2 (Industrial and Commercial Boilers, Process Heaters and Steam Generators) provide emission limits for carbon monoxide. In addition, the ARB draft RACT/BARCT Guidance has both VOC and CO emission standards for all types of IC engines. The District will further evaluate this and consult with ARB regarding the necessity and values of the proposed emission limits.

**10. WRITTEN COMMENT**

The monitoring of the operational characteristics required by Subsections (e)(1)(i), (ii), and (iii) is not possible for older existing engines. The option of not monitoring these parameters should be provided in the rule. The rule should emphasize that this requirement is applicable only to engines where monitoring of these parameters is feasible.

**DISTRICT RESPONSE**

The District agrees. For some older engines, especially diesel engines, it may not be possible to monitor operating parameters. In some engines the operating parameters that can be monitored, such as inlet manifold temperature or pressure, may not necessarily be related to the engine's emissions. Therefore, Sections (e) and (f) have been revised to address this concern.

**11. WRITTEN COMMENT**

Since Subsection (f)(2) already contains maintenance requirements for engines, the inspection requirements in Subsection (f)(1) are not needed and should be deleted.

**DISTRICT RESPONSE**

The District disagrees. Both inspection and maintenance practices are necessary to enhance on-going compliance with Rule 69.4.1. Inspections are necessary to determine whether the engines and emission controls are operating in compliance with the emission standards of the rule, while maintenance is needed to ensure on-going proper engine operation. For example, an inspection

could include checking monitored parameters, observing engine/control equipment operation, and measuring exhaust NOx emissions with a portable monitor. An inspection may indicate that additional maintenance is required.

**12. WRITTEN COMMENT**

Subsections (g)(6)(i), (ii), and (iii) contain recordkeeping requirements that are not possible to implement for older existing diesel engines. The rule should contain an option stating that these parameters should be determined if feasible.

**DISTRICT RESPONSE**

The District agrees. Subsection (g)(6) has been revised to reflect this comment.

**13. WRITTEN COMMENT**

Recordkeeping requirements of Subsection (g)(6)(iv) only apply to SCR equipment and, accordingly, should not be specified in the rule.

**DISTRICT RESPONSE**

Subsection (g)(6) provides examples of engine operating parameters for which records are to be kept. These records, as stated in the first paragraph of Section (g), may include but are not limited to the parameters listed in Subsections (g)(6)(i) through (iv). However, Subsection (g)(6)(iv) has been revised to clarify that the flow rate of NOx reducing agent only needs to be measured and recorded if this equipment is installed.

**14. WRITTEN COMMENT**

Subsection (h)(1)(i), "Test Methods," should state that a modified District Test Method 100 may be appropriate to address time averaging and unique stack configurations which do not specifically meet the test method requirements.

**DISTRICT RESPONSE**

The District disagrees. Test Method 100 already allows variation in the test duration and stack configuration for specific applications with written District approval. These variations must be specified in a source test protocol as required by Subsection (i)(2).

**15. WRITTEN COMMENT**

Source test requirements in Subsection (i)(1) should address the entire stationary source. A source with multiple identical units should be required to test only one representative unit.

**DISTRICT RESPONSE**

The District disagrees. Each engine has unique performance characteristics and yields different emission profiles during testing. One engine emission profile is not representative of other "identical" engine emission profiles. For example, District source test results for completely identical rich-burn, natural gas engines equipped with a Non-Selective Catalytic Reduction system (NSCR) and located at the same site showed that NOx emissions can vary significantly, sometimes by as much as an order of magnitude. However, the District is evaluating the performance of portable NOx monitors that may be usable as a screening tool and might allow streamlining some engine source testing.

**16. WRITTEN COMMENT**

Subsection (i)(3), "Source Test Requirements," should allow the flexibility for unique operating modes of certain engines by allowing a source test to be performed under typical operating conditions.

**DISTRICT RESPONSE**

The District agrees. Presently, Subsection (i)(3) only provides flexibility for engines continuously operating at less than 80% of the brake horse power rating. This subsection has been revised to address engines with unique operating modes.

**17. WRITTEN COMMENT**

Would the exemption specified in Subsection (b)(2)(i) apply to four existing engines operating at the same stationary source for a total of not more than 800 hours per year, even if one or two engines operate for slightly longer than 200 hours? These engines are located at an existing natural gas transmission compressor station and operate only when the natural gas demand exceeds normal level.

**DISTRICT RESPONSE**

Subsection (b)(2)(i) applies to each engine. However, a District analysis showed that controlling the engines specified above is not cost-effective. Therefore, Subsection (b) has been revised to include an additional exemption for the above four engines. A proposed new exemption in Subsection (b)(2)(vi) limits the aggregate operations of the four engines operation to a total of 800 hours per calendar year provided each engine operates less than 300 hours per calendar year.

**18. WRITTEN COMMENT**

Is it possible to use an existing engine which operates less than 200 hours per year and, therefore, is exempt from the rule emission standards pursuant to Subsection (b)(2)(1) for an unlimited time in case of an emergency?

**DISTRICT RESPONSE**

No. Only emergency standby engines can operate for an unlimited time in an emergency situation. Such engines are limited to 52 hours per year for non-emergency operation.

**19. WRITTEN COMMENT**

The District stated that proposed Rule 69.4.1 would be further revised prior to enactment to reflect revisions to current ARB standards for off-road diesel engines. Those revisions are expected to conform to similar standards EPA has already adopted. However, the anticipated EPA standards have not been promulgated, and so cannot yet provide a basis for ARB regulation or proposed Rule 69.4.1.

**DISTRICT RESPONSE**

The final EPA standards for off-road diesel engines (Tier 2) were adopted in 1998 and have already been included in the Code of Federal Regulation (40 CFR 89). However, the implementation dates of this regulation for diesel engine manufacturers start in 2002 through 2006, depending on the size of engine. Therefore, Rule 69.4.1 has been revised to, instead, incorporate the Tier 1 NOx emission standards (6.9 g/bhp-hr), which were promulgated by EPA in 1994, as an alternative to compliance by installing add-on emission controls. This standard is now in effect for off-road diesel engines below 750 bhp, and will be in effect for engines larger than 750 bhp after January 1, 2000. This standard already can be met by some existing engines. For others, compliance could be achieved by engine combustion modifications, installing add-on control equipment, or replacing with a Tier 1 certified engine.

**20. WRITTEN COMMENT**

The ARB and EPA standards are new engine, pre-sale certification standards rather than in-use standards. They will be linked to protocols for certification testing. Protocols of this kind cannot be practically applied to diesel engines in use at a stationary source. For example, ARB and EPA standards are expressed as weighted averages of emissions from multiple modes of operation. In some modes, these engines necessarily exceed the standard specified. Therefore, the standards cannot be adopted as an absolute limit on emissions, which is the typical form of standards for stationary emission sources.

**DISTRICT RESPONSE**

In general, the District agrees with this assessment. (See also the response to Comment #3.) However, ARB is presently developing a field source test that will harmonize EPA certification tests with field test methods used by state and local agencies to ensure rule compliance.

As an interim measure, where a source elects to replace an existing engine with a certified engine, the District proposes accepting EPA or ARB certification in lieu of an initial source test for the purposes of demonstrating compliance with Rule 69.4.1 emission standards. Subsequent compliance tests will be specified in the rule as contingent on a test method developed by ARB.

**21. WRITTEN COMMENT**

The mobile source standards of EPA mobile source programs allow fleet and model-year engine averaging, trading, and the payment of non-conformance penalties as alternatives to strict and uninterrupted compliance with stated standards for each and every engine. Because this flexibility



does not exist in stationary source programs, these standards may not rationally be carried across to stationary engines.

#### **DISTRICT RESPONSE**

According to current information, some engine manufacturers already make diesel engines of various sizes which comply with the EPA Tier 1 standards. The latest draft of proposed Rule 69.4.1 incorporates EPA's Tier 1 NOx emission standards promulgated by EPA in 1994 and which are already in effect for engines rated at 750 bhp or smaller and manufactured in the United States. For larger engines, these standards will be effective by January 2000. Every new engine must meet EPA's Tier 1 standards to be certified because the averaging provision for Tier 1 engines does not apply for off-road diesel engines operating in California.

#### **22. WRITTEN COMMENT**

Some of the federal emission standards for off-road diesel engines are not yet effective, therefore diesel engine manufacturers are not yet offering a full range of products that meet these standards. As proposed, Rule 69.4.1 would require that existing diesel engines be replaced with engines that, in some cases, are not yet available for purchase.

#### **DISTRICT RESPONSE**

Please see the response to Comment #21.

#### **23. WRITTEN COMMENT**

There is a danger that a technology-forcing (or technology anticipating) rule would impose significant costs on sources who have replaced diesel engines recently, or who were compelled to replace engines before new, fully compliant engines were available. The District should not promulgate a rule that requires engines to be retired too early in their economic lifetime.

#### **DISTRICT RESPONSE**

Tier 1 complying diesel engines are available in a variety of sizes. If a recently installed diesel engine was recently manufactured, the diesel engine is likely to have low NOx emissions and should be able to meet the proposed rule standards without modification. Some modifications may be required for some existing engines to meet rule standards. Cost-effective emission control techniques such as turbocharging, aftercooling, and fuel injection timing retard are available, as well as the option of installing add-on control equipment. .

#### **24. WRITTEN COMMENT**

Cost-effectiveness calculations should not be based on potential emissions from diesel engines because many of these engines are not used intensively and, therefore, emit far less NOx than their potential to emit (PTE). A new cost-effectiveness analysis should be conducted based on actual fuel use profiles for engines, before the District determines whether presumed control measures are cost-effective.

**DISTRICT RESPONSE**

The District disagrees. Typically, the District's rule development analysis includes cost-effectiveness calculations based on PTE as reflected in permit conditions. Actual emissions based on historical fuel use or mode of operations would not necessarily reflect future use of an engine. There is no assurance that an engine will not be operated at up to permitted levels at some time in the future. Moreover, emission reductions are typically based on emissions allowable under the proposed rule, even though actual future emissions may be less.

If an engine operator were to apply for and accept current operating levels as enforceable permit conditions, these "actual" emissions will be used for cost-effectiveness calculations.

**25. WRITTEN COMMENT**

"BARCT" stands for "best available retrofit control technology." While the definition of BARCT in state law does not repeat the term "retrofit," the use of the word "retrofit" in the term itself carries even more force. Moreover, looking to the history of how this term has been applied in California, it is clear that BARCT standards must be based on retrofit technologies - not on the replacement of grand-fathered or permitted equipment.

**DISTRICT RESPONSE**

The District disagrees. The proposed rule does not require engine operators to replace engines. The rule standards can be achieved either by engine combustion modifications, add-on control devices, or by engine replacement. The engine operator selects how compliance will be achieved.

BARCT is not a specific "retrofit" technology; it is defined in the state Health and Safety Code as "an emission limitation." Consequently, as stated in the ARB guidance document "Determination of Reasonably Available Control Technology and Best Available Retrofit Control Technology," published in 1990, the emission limitation determined to be BARCT can be achieved by different means, such as "add-on controls, process modifications, alternate fuels, etc."

The proposed emission standards, and options for compliance, in Rule 69.4.1 meet the Health and Safety Code and ARB definitions of BARCT.

**26. WRITTEN COMMENT**

The workshop report should identify the retrofit control technology that the District has determined is achievable, considering economic and other factors. The expected cost-effectiveness of that retrofit technology for each distinct application of the technology should also be disclosed, and the analysis underlying that cost-effectiveness determination discussed.

**DISTRICT RESPONSE**

The District has determined that add-on control technologies such as NOx catalytic reduction (selective catalytic reduction (SCR) and others); combustion modifications such as turbocharging, aftercooling, fuel injection timing retard; and diesel engine replacement with new, cleaner engines are the control technologies that have been proven feasible and cost-effective for diesel engines. The proposed standards and emission control requirements of Rule 69.4.1 were derived based on a

District analysis of the technological and economic feasibility of these available control options for IC engines, conducted in the earlier stages of rule development. The number of engines known to the District to be affected by the rule, their NOx emissions, and expected emission reductions were evaluated using the District permit and registration database, EPA and manufacturers' supplied NOx emission factors, and estimated control efficiencies and costs of NOx emission reduction technology. Some of this information has already been provided to interested parties and is available from the District upon request.

However, the workshop report is not a proper instrument to discuss these issues in depth. The technological and economic feasibility of the proposed rule, including the expected cost to industry and the cost-effectiveness of various control options, will be addressed in detail in the Socioeconomic Impact Analysis (SIA) to be conducted as a part of the Rule 69.4.1 development process. The SIA is prepared after the public workshop and comment process is completed and will be made available for public review and comment. This analysis will include the absolute and incremental cost-effectiveness of the various control options for complying with Rule 69.4.1.

## **27. WRITTEN COMMENT**

It is understood that the District has concluded that selective catalytic reduction is not BARCT for diesel engines. This conclusion is agreeable, and the ARB comments do not state that SCR is BARCT for these engines. The workshop report should state that SCR is not BARCT for diesel engines in San Diego.

### **DISTRICT RESPONSE**

The District did not conclude that SCR is not a BARCT option for all types and sizes of engines. SCR is technically feasible and cost-effective for some natural-gas fired engines and large diesel engines. In some cases, the cost of installing SCR will be significant and replacing an engine with an electric motor or certified engine will be preferred options for an operator. The proposed rule allows for those options. As stated in the response to the previous comment, such issues cannot be fully and efficiently discussed in the workshop report. They will be addressed in detail in the Socioeconomic Impact Assessment.

## **28. WRITTEN COMMENT**

It is understood that the District is basing diesel engine standards on work done to support ARB regulations, as specified in the California Code of Regulations (13 CCR Section 2423), for new, off-road diesel engines. If the District intends to continue down this path, the workshop report should clearly state that this is the technical basis for the proposed rule. It is believed that the use of standards developed for new diesel engines is not appropriate in a BARCT rule, unless there is technical evidence to show that those standards can be met using feasible and cost-effective retrofit technologies.

### **DISTRICT RESPONSE**

Proposed Rule 69.4.1 does not specify engine replacement as the only option to achieve compliance. The emission standards specified in the rule for diesel engines have been achieved in practice. There are some stationary diesel engines presently installed in San Diego County that already comply with the proposed rule limits. Some other engines can be modified to comply. Some older

engines can achieve these standards by retrofitting with technically feasible and cost effective, add-on control technology. An operator may also elect to replace an existing engine with a new, cleaner engine or an electric motor. Engines are, or will shortly be, available which will comply with the proposed rule.

**29. WRITTEN COMMENT**

Rule 69.4.1 as proposed appears to interpret state law requirements, for the implementation of all feasible measures, to require the replacement of existing pollution emitting equipment. The workshop report should state whether this is truly the District's interpretation of this requirement.

**DISTRICT RESPONSE**

The California Clean Air Act requires the District to adopt rules reflecting BARCT and all feasible control measures. Rule 69.4.1 is intended to fulfill both requirements as they would apply to internal combustion engines. Proposed Rule 69.4.1 does not specify replacement as the only means to achieve compliance. It is one of several options that can be selected by engine operators. (See also the District response to Comment #28.)

**30. WRITTEN COMMENT**

The workshop report should clearly state which standards in proposed Rule 69.4.1 are based on BARCT, rather than on "all feasible measures" determination.

**DISTRICT RESPONSE**

The standards in proposed Rule 69.4.1 are intended to fulfill both BARCT and "all feasible measures" requirements. Therefore, the standards in proposed Rule 69.4.1 cannot be categorized as based on either BARCT or "all feasible measures." The California Clean Air Act requires that the District's program to achieve state standards satisfy both the requirements for "all feasible measures" and for BARCT.

**31. WRITTEN COMMENT**

The Air Resources Board has not issued any final guidance identifying replacement-based standards as "feasible measures" for the diesel engines that would be affected by Rule 69.4.1. The District has provided no data or analysis to show that work done in support of the standards in 13 CCR Subsection 2423 for new, off-road diesel engines also supports the standards proposed in Rule 69.4.1 for existing stationary source engines.

**DISTRICT RESPONSE**

While ARB has not yet issued its final RACT/BARCT determination for reciprocating internal combustion engines, the draft determination contains significantly lower emission standards for diesel engines than both the proposed District rule and the state regulation for off-road diesel engines.

The District's calculations show that replacement of existing diesel engines with new ones certified by ARB or EPA, if chosen as an option for complying with Rule 69.4.1, is cost-effective for diesel engines operating at a capacity factor of 15% or higher. Engine modifications or retrofitting with add-on control equipment are also cost-effective options. This information will be provided in more detail in the Socioeconomic Impact Assessment for Rule 69.4.1.

**32. WRITTEN COMMENT**

Any interpretation of the all feasible measures requirement that encompassed replacement equipment would be a radical change in the application of this state law, with potentially huge implications for San Diego. Until now, "feasible measures" rules have been about additional controls on existing equipment, or about lower VOC coatings, not about the mandated replacement of existing equipment. Any change in this approach should be carefully thought through, particularly since this appears to be a local rather than a state-mandated approach to implementing state law.

**DISTRICT RESPONSE**

Please see the District responses to Comment Nos. 25, 28, 29, and 31. Again, Rule 69.4.1 does not mandate engine replacement. This is just one option available to comply with the proposed rule.

**33. WRITTEN COMMENT**

The 1998 Regional Air Quality Strategy (RAQS) described proposed Rule 69.4.1 as a BARCT rule, not as an all feasible measures rule. The more stringent requirements of Rule 69.4.1 were not part of the 1998 RAQS.

**DISTRICT RESPONSE**

State law requires the District to adopt rules for sources emitting ozone precursors that reflect BARCT and provide annual 5% emission reductions. If this last goal is not achievable, the District must also expeditiously adopt "every feasible control measure." The RAQS update addresses all feasible control measures that are included in the District's attainment plan. Adoption of Rule 69.4.1, which also reflects BARCT requirements for IC engines, is one of the "feasible measures" contained in the RAQS. The District also committed to adopt Rule 69.4.1 as an "all feasible measure" when it requested ARB approval to repeal state emission offset requirements from the New Source Review (NSR) rules.

**34. WRITTEN COMMENT**

Rule 69.4.1 would require replacement for IC engines that are otherwise exempt to meet the standards set out in the rule. Until now, control issues related to equipment replacement have been addressed under NSR Regulation, which typically results in the installation of BACT controls. Because BACT is presumably as or more stringent than BARCT, it seems to be an excessive measure to require replacement of these engines on an accelerated schedule.

**DISTRICT RESPONSE**

The proposed rule does not require replacement of engines, including those that are otherwise exempt from the emission standards. Compliance with the proposed emission standards is only required if an engine currently exempt under the proposed rule is replaced.

**35. WRITTEN COMMENT**

The proposed rule requires an engine's operational characteristics be monitored, in some cases on a continuous basis. This requirement is burdensome and unnecessary.

**DISTRICT RESPONSE**

Rule 69.4.1 monitoring requirements only apply to those operational characteristics which are necessary to demonstrate compliance. In many cases, permit conditions or manufacturers' specifications already require monitoring of these parameters. For example, for a rich-burn engine that has a catalyst installed, a typical permit requires the operator to inspect the air-to-fuel ratio controller periodically, monitor the air-to-fuel ratio, and record the inspection and sensor replacement dates. For a lean-burn engine, permit conditions typically already specify that combustion air temperature should be monitored (through a computer control panel). For some engines, the inlet manifold temperature and pressure are specified in the District permit and should already be monitored.

Rule 69.4.1 rule does not require continuous monitoring of all operating parameters. This requirement will only apply if an add-on emission control device is installed. Manufacturers' specifications for add-on control equipment such as SCR would require operators to continuously monitor the ammonia to NOx ratio.

**36. WRITTEN COMMENT**

The recordkeeping requirements of the rule are burdensome and should be reduced to the extent feasible.

**DISTRICT RESPONSE**

The recordkeeping requirements specified in the rule are necessary to ensure on-going compliance with the emission standards. Subsections (g)(4), (5), and (6) provide examples of the necessary records. Depending on the type of engine and the emission control system, these records may vary. Similar to the monitoring requirements, permit conditions for many existing engines already specify similar recordkeeping requirements (e.g., records of inspection of catalytic converters, records of engine manifold temperature and pressure). The owners of these engines are complying with these permit conditions.

**37. WRITTEN COMMENT**

Subsection (b)(2)(i) should be revised to include any existing engine with an annual fuel usage less than the maximum fuel usage, in gallons per hour, multiplied by 200, provided that the fuel usage is verified by a non-resettable, totalizing fuel meter.

**DISTRICT RESPONSE**

Allowing the exemption based on the maximum hourly engine fuel usage multiplied by 200 may result in a NOx emission increase compared to the proposed exemption for engines operating less than 200 hours at actual fuel use. In addition, the proposed ARB RACT/BARCT Guidance only exempts engines operating less than 100 hours. The District is currently evaluating the NOx emission impact of the exemption suggested in this comment and is consulting with ARB regarding their comment on the 200 hours exemption. Please, see also ARB comment #74.

**38. WRITTEN COMMENT**

The proposed rule should specify that if an engine is exempt from the rule emission standards based on its annual fuel usage in accordance with Subsection (b)(2), the engine annual fuel usage must be monitored and recorded.

**DISTRICT RESPONSE**

Please refer to the District response to the previous Comment #37. If a suggested exemption based on annual fuel use is included in Rule 69.4.1, Subsection (g)(2)(ii) will be revised to require a meter to measure fuel use and a record of annual fuel use.

**39. WRITTEN COMMENT**

It is requested that the proposed rule provide an exemption for engine test cells or any engine that is being operated within a permitted test cell. This exemption shall be in effect during the period the engine is operated within the test cell. The time the engine is operated within this test cell shall not count toward the 200 hour limit of Subsection (b)(2)(i).

**DISTRICT RESPONSE**

The District agrees. Subsection (b)(2) has been revised to include an exemption for engines operating exclusively within permitted test cells for research, development, or testing reciprocating engines or their components. This exemption has no restriction on operating hours.

**40. WRITTEN COMMENT**

Documentation of the combustion method pursuant to Subsection (g)(1)(iii) is unnecessary because existing emergency standby engines are not subject to the standards based on combustion method. This recordkeeping requirement exposes emergency generator operators to needless enforcement risk.

**DISTRICT RESPONSE**

Replacement emergency standby, low-use, and cyclic engines will be subject to the emission standards of the rule based on their combustion method. Therefore, a record of the combustion method is needed to facilitate compliance determinations. However, if this information is accurately provided in a District permit or registration certificate, a separate facility record would seem unnecessary. Subsection (g)(1) has been revised to reflect this.

**41. WRITTEN COMMENT**

What documents are required to demonstrate that a specific fuel is "California Diesel Fuel," pursuant to Subsection (g)(1)(iv)? For diesel fuel purchased from multiple suppliers, there has not been any consistency in the documentation provided to certify that the fuel meets the ARB specifications.

**DISTRICT RESPONSE**

According to current information, fuel specification sheets which indicate whether the fuel is a California Diesel Fuel are readily available from the fuel suppliers.

**42. WRITTEN COMMENT**

The proposed rule would require emergency generator operators to monitor operating parameters and keep records not otherwise required under Rule 12. The language of Subsection (g)(1)(v) appears to give the District approval authority over the frequency and extent of the maintenance program, disguised as additional recordkeeping requirements.

**DISTRICT RESPONSE**

Subsection (b)(2), in the last paragraph, specifies the requirement for annual maintenance of exempt engines. A record of the maintenance must be kept. Maintenance must follow manufacturer's recommendations, or an alternative procedure must be approved by the District. The records required by Subsection (g)(1) and (g)(2) are necessary to ensure on-going compliance.

**43. WRITTEN COMMENT**

The records of cumulative annual hours of operation pursuant to (g)(2)(ii) are redundant and exposes emergency standby engine operators to needless enforcement risk. This information should not be required.

**DISTRICT RESPONSE**

The District disagrees. These records are necessary to enforce the rule exemption in Subsection (b)(2)(ii) which limits emergency standby engines to not more than 52 hours of operation per year for non-emergency purposes.

**44. WRITTEN COMMENT**

For rich-burn engines, the only feasible control option is Non-Selective Catalytic Reduction (NSCR). It is doubtful that any rich-burn engine, even with NSCR-type controls, could achieve



compliance with a limit of 25 ppmv at 15% oxygen. These requirements will result in the shutdown of rich-burn engines.

#### **DISTRICT RESPONSE**

The NOx emission profiles of rich-burn engines already operating in San Diego County indicate that about two-thirds of these engines are able to meet the 25 ppmv NOx emission limit at 15% oxygen. Proper maintenance of the NSCR system, including frequent catalyst washing and replacement, proper maintenance of the air-to-fuel ratio controller and the exhaust oxygen sensor, and proper maintenance of the engine would help maintain low NOx emissions. The draft ARB RACT/BARCT Guidance for internal combustion engines also proposes a 25 ppmv NOx emission standard for rich-burn engines. In addition, many California air districts already have rules specifying this NOx emission limit for rich-burn engines.

#### **45. WRITTEN COMMENT**

The emission reduction requirement of 96% for rich-burn, gas engines from the uncontrolled level is too stringent, based upon reviews of available literature. A more reasonable and achievable limit should be in the range of 80% to 90%.

#### **DISTRICT RESPONSE**

The ARB draft RACT/BARCT Guidance for internal combustion engines proposes 96% reduction of NOx emission from rich-burn, gas engines. EPA's Alternative Control Techniques Document on NOx Emissions from Stationary Reciprocating Internal Combustion Engines indicates NOx emission reduction efficiencies of up to 98% with NSCR. Manufacturers of NSCR guarantee that properly sized catalysts with proper engine air-to-fuel ratio will achieve 98% NOx emission reduction.

#### **46. WRITTEN COMMENT**

The NOx limits for rich-burn engines in proposed Rule 69.4.1 represent a level better defined as BACT, not BARCT.

#### **DISTRICT RESPONSE**

There are many cases where the emission control levels for BACT and BARCT are the same. For example, the BACT control level for small commercial and industrial boilers is the same as the BARCT level for these boilers. The NOx limit proposed for Rule 69.4.1 is achievable and cost-effective, is consistent with ARB's draft RACT/BARCT Guidance, and is consistent with the BARCT rules of other California air districts.

#### **47. WRITTEN COMMENT**

It is believed that the District has discretion in setting the BARCT emission standards and is not mandated by state law to adopt the standards presently specified in Rule 69.4.1 for rich-burn engines.

**DISTRICT RESPONSE**

State law allows each air district to establish BARCT emission control levels based on available control options (i.e. technological feasibility) and cost-effectiveness. Accordingly, in setting Rule 69.4.1 standards, the District has considered potential control options for rich-burn engines, evaluated the associated technical feasibility and costs, and determined that the proposed emission limit is technically feasible and cost-effective.

**48. WRITTEN COMMENT**

Internal combustion engines used for research, development, and testing of turbine engines and their components should be exempt from the requirements of Rule 69.4 and 69.4.1. Turbine start engines are usually new Caterpillar engines and are used for less than 30 minutes for each start sequence for a total run time of two to 10 hours prior to shipment.

**DISTRICT RESPONSE**

The District agrees. Rule 69.4.1 has been revised to exempt reciprocating internal combustion engines used for research, development, and testing of turbine engines and their components.

**49. WRITTEN COMMENT**

Emergency standby engines operated less than 52 hours per year should have streamlined recordkeeping requirements. These engines should be allowed to demonstrate compliance with the exemption using the hour meter that automatically records engine run times. Any operations of less than 52 hours per year should be considered as routine for maintenance, insurance verification runs, and typically intermittent emergencies. Accordingly, subsection (g)(2)(i) should be required only when the engine's operation time exceeds 52 hours per year.

**DISTRICT RESPONSE**

Rule 69.4.1 only limits non-emergency operations, not emergency operations. Therefore, the District needs to know whether operations were for emergency or non-emergency purposes. The District has the flexibility to consider alternative recordkeeping on a case-by-case basis, if the alternative will ensure compliance with Rule 69.4.1. For example, a permit or registration certificate could be issued with a condition that requires only that total operating hours (emergency and non-emergency) be recorded and that limits total operating hours to 52 per year. However, the District would be concerned that an operator could not always predict future emergency operation of the engine and might risk a situation where emergency operation of the engine would cause a violation of the more stringent permit or registration operating limits.

**50. WRITTEN COMMENT**

For engines operated by contractors at a stationary source, the rule should specify if the contractors are responsible for compliance with the rule requirements.

**DISTRICT RESPONSE**

Generally, both the contractor and the host stationary source are responsible for compliance. Either or both could be cited for non-compliance. The same is true for other types of equipment/activities subject to other District rules being operated by a contractor. Singling out Rule 69.4.1 to specify responsibility would be inappropriate.

#### **51. WRITTEN COMMENT**

It is believed that new and existing emergency standby engines should be exempt from Rule 69.4.1 based on their minimal hours of operation plus the presence of other conflicting regulations. ARB's RACT/BARCT Guidelines suggests such engines be exempt from BARCT. Moreover, District Rule 12 exempts them as well. The exemption from proposed Rule 69.4.1 should apply to both emission standards and expanded recordkeeping, testing, and monitoring procedures.

#### **DISTRICT RESPONSE**

Existing emergency standby engines operating less than 52 hours per year for maintenance or other purposes are presently exempt from all the rule requirements except limited recordkeeping. These records are similar to those required under Rule 12 or existing permits, and are needed to ensure rule enforceability. The proposed draft of ARB RACT/BARCT Guidance has similar requirements for standby engines.

For new or replacement engines operating less than 200 hours per year or as emergency standby engines, the District is proposing to exempt these engines from all monitoring, recordkeeping, and testing requirements, except for the requirement of Subsection (e)(3) to install a non-resettable, totalizing fuel meter or elapsed operating time meter. The District will accept EPA or ARB certification data as a surrogate for a field compliance test for these engines.

#### **52. WRITTEN COMMENT**

It is the District's intent to harmonize the proposed Rule 69.4.1 emission standards for new, high-use diesel engines with the ARB standards for new diesel, off-road engines, as published in the California Code of Regulations. However, those standards will not be implemented, as ARB will replace them with the ones that match the EPA's standards for off-road engines. It is suggested that the District harmonize Rule 69.4.1 emission standards with the ARB and EPA standards, which will provide greater air quality benefits because EPA's Tier 2 off-road emission standards are more stringent than those proposed in Rule 69.4.1.

#### **DISTRICT RESPONSE**

The District agrees. However, the District has analyzed the emission reduction profile, over a 10-year compliance period, of Tier 1 versus Tier 2 engines. Although Tier 2 engines will have lower NOx emission rates, the Tier 2 implementation schedule stretches over a number of years. Tier 1 engines can produce greater aggregate emission reductions sooner. In order to achieve emission reductions expeditiously, the rule has been revised to incorporate emission standards for stationary diesel engines that are the same as the EPA Tier 1 off-road diesel engine emission standards. Engines complying with these standards are presently available in many models and sizes. In the future, the District will evaluate developing NOx control technologies that may be technologically feasible and cost-effective to further retrofit engines and achieve additional emission reductions. If

appropriate, the District will propose revisions to Rule 69.4.1 at that time. Please see also the response to Comment #1.

**53. WRITTEN COMMENT**

For high-use diesel engines, the 450 ppmv NOx standard (equivalent to 5.8 g/bhp-hr), if effective January 1, 2001, represents a greater degree of stringency than its EPA off-road engine counterpart, since manufacturers are developing off-road engines to meet the EPA Tier 2 standards (4.9 and 4.8 g/bhp-hr combined NOx and HC, respectively) by January 1, 2003, and January 1, 2002, respectively.

**DISTRICT RESPONSE**

Please see the response to the previous Comment #52.

**54. WORKSHOP COMMENT**

How would the District review maintenance records for engines that are exempt by Subsection (b)(2) but are required to conduct annual maintenance and maintain records in accordance with Subsections (g)(1) and (g)(2)? How would the cost of the review be recovered?

**DISTRICT RESPONSE**

The District will review the maintenance records using the same program as is used now to enforce permit or registration certificate requirements for stationary IC engines. Many engines rated at 50 bhp or larger and all engines rated above 200 bhp, including emergency generators and other engines that will be exempt from the emission control requirements of Rule 69.4.1, are subject to District permit or registration requirements. Currently, permit conditions for these engines require operators to keep certain records, such as cumulative hours of operation or the amount of fuel usage, sulfur content of fuels, etc. All permitted (or registered) engines are periodically inspected and their permit conditions are reviewed periodically to verify compliance. The cost of these inspections is recovered through the annual permit or registration renewal fees.

**55. WORKSHOP COMMENT**

How would the District review an engine maintenance procedure that is not available from the manufacturer?

**DISTRICT RESPONSE**

If the operator does not have the manufacturer's recommended maintenance procedure, an alternative maintenance procedure should be proposed and provided to the District for review and approval. The District would review the procedure for reasonableness and similarities with manufacturers' recommendations for similar engine types.

**56. WORKSHOP COMMENT**

Rule 69.4.1 proposed NOx emission standards are expressed as NOx emission concentration calculated at 15% oxygen. How do you convert NOx emission concentration calculated at 3% oxygen to its equivalent standard calculated at 15% oxygen?

**DISTRICT RESPONSE**

To arrive at the NOx emission concentration calculated at 15% oxygen, the NOx emission concentration calculated at 3% oxygen should be multiplied by a conversion factor of 0.3315.

**57. WORKSHOP COMMENT**

Why does the rule allow up to 12 months after the date of adoption to comply with the requirement of using California Diesel Fuel?

**DISTRICT RESPONSE**

The 12-month grace period was provided to allow sources to use their existing supply of diesel fuel which may not comply with California Diesel Fuel specifications. Based on information supplied by fuel distributors, diesel fuel shelf life is between 6 and 12 months, depending on storage conditions. However, current information shows that the majority of sources are already using California Diesel Fuel. Therefore, the rule has been revised to reduce the grace period to six months, allowing those sources that still do not use California diesel to exhaust their fuel supplies.

**58. WORKSHOP COMMENT**

Could the District provide a few examples of permitted engines with requirements to monitor air-to-fuel ratio?

**DISTRICT RESPONSE**

There are engines, both rich- and lean-burn, that have a condition of their permits requiring the operator to maintain the air-to-fuel ratio controller in proper order. Essentially, this condition requires to monitor the air-to-fuel ratio controller to assure that the catalytic converter is operating properly.

For example, a permit was issued by the District in 1994 for a Caterpillar rich-burn, natural-gas engine rated at 290 bhp and equipped with a catalytic converter and air-to-fuel ratio controller (Permit No. 900544). The permit states that the engine operator must comply with the following condition: "To maintain the 750 millivolt operating range of the oxygen sensor, the dip switch in the air-to-fuel controller shall be set as follows: FOOF0FOO, where O = on and F = off." In order to assure compliance with this condition, the engine operator must periodically monitor the air-to-fuel ratio controller setting, which is a surrogate for the air-to-fuel ratio.

**59. WORKSHOP COMMENT**

Based on annual test results, engines having good compliance records should be allowed to be tested on a less frequent schedule.

**DISTRICT RESPONSE**

Subsection (i)(1) of the proposed rule provides that an alternative source test schedule for engines subject to the emission control standards is allowable with the prior written approval of the District. The District, together with interested parties, is presently working on a policy allowing reduced source test frequency depending on emissions and history of compliance.

**60. WORKSHOP COMMENT**

How much emission reductions does the District expect to obtain from replacing emergency generators?

**DISTRICT RESPONSE**

There are approximately 900 emergency generators that either have permits or are registered in the District. The estimated NOx emissions from these generators based on their potential to emit, and assuming that each generator operates for 52 hours a year for non-emergency purposes as allowed by the proposed rule, are approximately 300 tons per year. This amount may be overestimated if all engines are operated at low- or no-load levels and emissions are correspondingly lower. However, some facilities such as telephone companies, prisons, etc., test their emergency generators at full load. In addition, the estimated emissions from emergency generators do not include emissions during emergency operations, and from those engines which still do not have District permits or registration.

The District has no data to predict when these existing engines might be replaced or the rate of turnover to new engines. However, assuming that one third of these engines are replaced during the next 10 years with EPA certified Tier 1 engines (i.e. the NOx emissions at 6.9 g/bhp-hr), NOx emission reductions will be approximately 50 tons per year.

**61. WORKSHOP COMMENT**

The District contends that NOx emissions from emergency generators operating for non-emergency purposes, i.e. 52 hours per year for testing and maintenance, as allowed by the proposed rule, are significant. However, it seems that in calculating these emissions the District assumed that the engines are operating at full load during the testing and maintenance. In reality, emergency generators never operate at full load during testing. Usually, they idle half the time, and then are brought to a full load for a short period of time. Therefore, the actual emissions may be only 10 or 15% of the District estimates.

**DISTRICT RESPONSE**

The District is planning to conduct several informational source tests on emergency generators of various sizes and age in order to obtain emission data for a typical test or maintenance operation. Emission estimates may be revised as a result.

**62. WORKSHOP COMMENT**

The proposed rule requires the NOx emission concentration for low-use and cyclic engines be no higher than 700 ppm at 15% of oxygen. However, the District source test provides for an averaging period of one hour. This must be reflected in the rule.

**DISTRICT RESPONSE**

The District agrees. Subsection (i)(3) of the proposed rule has been revised to address this comment.

**63. WORKSHOP COMMENT**

The Tier 1 EPA emission limits for engines rated at more than 750 bhp that are scheduled to be in effect on January 1, 2000, may be postponed.

**DISTRICT RESPONSE**

According to EPA and a representative of the Engine Manufacturers Association, these limits will be in effect on January 1, 2000. In addition, some off-road engines larger than 750 bhp have already been certified by either ARB or EPA and are presently available.

**64. WORKSHOP COMMENT**

The proposed rule provides an implementation schedule with the final compliance date several years after the date of adoption. If a person needs to buy an emergency generator now, and buys the engine not complying with the rule limits because it is not yet in effect, would this person need to replace the engine in a few years?

**DISTRICT RESPONSE**

No. Diesel engines that comply with proposed Rule 69.4.1 emission limits are already commercially available in most sizes. Complying engines larger than 750 bhp will be available after January 1, 2000.

**65. WORKSHOP COMMENT**

Since EPA certified engines are not available in all sizes, the rule should contain different implementation dates for newly purchased and replacement engines.

**DISTRICT RESPONSE**

Currently, EPA Tier 1 certified engines are available in sizes up to 750 bhp. Complying engines larger than 750 bhp will be available after January 1, 2000. Based on District information, the majority of newly installed engines are already in compliance with the Tier 1 emission standards.

**66. WORKSHOP COMMENT**

What would be the requirement for an engine installed today that complies with the EPA Tier 1 standards? If the rule has Tier 2 standards that will be in effect starting in 2004, would the rule require this engine's replacement?

**DISTRICT RESPONSE**

The revised proposed Rule 69.4.1 would allow EPA certified Tier 1 engines as an option to comply with the rule emission standards. These engines would not have to be replaced in future years with Tier 2 engines. However, if future technically feasible and cost-effective control technology becomes available that is applicable to Tier 1 engines on a retrofit basis, the District may propose to amend Rule 69.4.1 to require this.

**67. WORKSHOP COMMENT**

If an existing diesel engine is taken out of service and replaced by an electric motor, would the entire amount of emission reductions be eligible for banking?

**DISTRICT RESPONSE**

The Emission Reduction Credits (ERCs) would be discounted to account for compliance with RACT and BARCT (Rule 69.4.1) requirements. Also, the ERCs may be reduced to account for the emissions occurring at the electrical generation source.

**68. WORKSHOP COMMENT**

Why are the emergency generators operating at the nuclear power station allowed the exemption limit of 500 hours? These diesel engines at the nuclear power station are very large and will emit a significant amount of pollutants if they operate 500 hours per year, each.

**DISTRICT RESPONSE**

There are only four such engines in San Diego County, each rated at 8,000 bhp. They are emergency generators and are required by the Nuclear Regulatory Commission (NRC) to follow special operational testing procedures that may exceed 52 hours per year. Historical records show that they do not operate more than 65 hours per year each. Their total actual emissions do not exceed nine tons per year. The proposed 500 hour limit, although not expected to be needed, is to cover additional NRC required performance testing should there be problems during the regular operational testing. The District is continuing to evaluate whether these engines may be controlled or whether the exemption can be limited to a lower number of hours per year.

**69. WORKSHOP COMMENT**

It is suggested the District organize a workgroup with industry participation to discuss the issues which were raised today at the workshop. Several people may volunteer to participate in this workgroup.

**DISTRICT RESPONSE**



The District agrees. The District will contact people who volunteered to participate in the workgroup as well as others who might be interested.

**70. WORKSHOP COMMENT**

It is requested that the District establish a list of engines that will be affected by the proposed rule and provide information related to the cost and cost-effectiveness calculations of the rule as applied to these engines.

**DISTRICT RESPONSE**

The District has information available indicating the number of known engines in each category, i.e. rich-burn, lean-burn, low- and high-use diesel engines, and preliminary data for the costs and cost-effectiveness of various control strategies that can be used to comply with the proposed rule standards. This information is available upon request.

**71. WORKSHOP COMMENT**

The rule may be easier to use if it were reformatted to keep requirements for the same engine category (e.g., lean-burn engines) in one section and include there both standards and administrative requirements, i.e. recordkeeping and monitoring.

**DISTRICT RESPONSE**

Rule 69.4.1 format follows the format of Rule 69.4, which regulates IC engines at major sources of NOx emissions, and most other District rules. However, the District will consider this proposal and will present it to the working group for discussion.

**72. WORKSHOP COMMENT**

Subsection (d)(2) of the proposed rule requires engine operators to keep records of engine maintenance even for engines exempt from the rule's emission standards. How does the District envision getting these records reviewed and how will the costs of these reviews be recovered?

**DISTRICT RESPONSE**

These engines are presently either permitted or registered with the District. They are inspected periodically to verify hours of operation or fuel usage. The cost of these inspections is recovered through permit or registration renewal fees. When this equipment is inspected by the District, there would be a review of the maintenance records. The cost for the District will also be recovered through renewal fees.

However, if the source does not currently have a manufacturer's recommended maintenance procedure, they should either obtain it from the manufacturer or propose to the District a maintenance plan for review. The cost of this review may also be covered by renewal fees. However, if these fees are not sufficient, the source may be asked to apply to modify its permit or registration and pay fees to cover District costs.

**73. WORKSHOP COMMENT**

Does the District believe that the lack of maintenance results in an emission increase and therefore the records will help to reduce pollution? This requirement will certainly be costly for affected sources.

**DISTRICT RESPONSE**

Yes. Some engines can be a significant source of air pollution if their owners do not adhere to a recommended maintenance schedule and procedures. The requirement to conduct engine maintenance is one of the strategies to reduce air pollution from IC engines and can be expected to preserve engine performance. The requirement to keep records of the maintenance provides the District a tool to better ensure compliance with the maintenance requirement.

**74. ARB COMMENT**

It is recommended that the District reduce the hours of operation for exempt engines from 200 to 100 hours to get additional NOx reductions. This is consistent with the provision in the draft RACT/BARCT determination for the internal combustion engines.

**DISTRICT RESPONSE**

The District will further evaluate whether controlling emissions from existing engines with such low use is cost-effective and technologically feasible. Proposed Rule 69.4.1 requires that at the time these engines are replaced they must comply with the rule emission limits for non exempt engines. This provision will ensure future emission reductions for engines that are presently exempt from the rule emission limits.

**75. ARB COMMENT**

It is suggested that the District consider setting the NOx limit for high-use diesel engines at 80 ppmv at 15% oxygen, or 90% reduction in order to obtain additional NOx reduction. This limit is based upon the use of SCR as emission control technology. Evaluation indicates that SCR could be a cost-effective control technology for diesel engines.

**DISTRICT RESPONSE**

The District agrees that for some high-use diesel engines, SCR is a cost-effective control technology. Therefore, proposed Rule 69.4.1 has an option of using add-on control on diesel engines provided that uncontrolled NOx emissions are reduced by not less than 90%. SCR technology can be used by sources electing this option. However, current information also shows that SCR installations incur high operation and maintenance costs. Therefore, mandating this level of control may result in significant overall costs to industry and have adverse socioeconomic impacts. Moreover, the District has been unable to identify any existing diesel fueled engines in the United States that have been retrofitted, and are operating with, SCR controls.

The District will further consider all technically feasible control options for Rule 69.4.1 in the Socioeconomic Impact Assessment. State law requires the District to determine the overall cost of a proposed regulation to industry including costs to small businesses, to consider socioeconomic impacts of the proposed rule and to minimize adverse socioeconomic economic impacts.

#### **76. ARB COMMENT**

Section (i) states that source testing shall be performed at no less than 80 percent of the brake horsepower rating. As an alternative, it is recommended that the District consider changing the wording so that testing is conducted at the engine's peak actual load and under the engine's typical duty cycle or operational mode.

#### **DISTRICT RESPONSE**

The District agrees. Section (i) has been revised to specify testing at 80 percent or greater of the brake horsepower rating or, with District approval, the highest achievable continuous horsepower rating or under the typical duty cycle or operational mode of the engine. These requirements will likely need to be further clarified depending on the field testing procedure ARB is developing for verifying on-going compliance of certified diesel engines.

**AIR POLLUTION CONTROL DISTRICT  
COUNTY OF SAN DIEGO**

**RULE 69.4 - STATIONARY RECIPROCATING  
INTERNAL COMBUSTION ENGINES - REASONABLY  
AVAILABLE RETROFIT CONTROL TECHNOLOGY**

**WORKSHOP REPORT**

A notice for a second workshop for proposed Rule 69.4 was mailed to all known owners and operators of stationary reciprocating internal combustion (IC) engines in San Diego County. Notices were also mailed to all Economic Development Corporations and Chambers of Commerce in San Diego County, the U.S Environmental Protection Agency (EPA), the California Air Resources Board (ARB), and other interested parties. The workshop was held on February 17, 2000. Written comments were received from EPA and ARB. The comments and District responses are as follows:

**1. EPA WRITTEN COMMENT**

Since Air Pollution Control Officer discretion is allowed to approve the operating parameters to be monitored and recorded, Sections (e)(4) and (e)(5) should specify the minimum operational data that must be maintained in all cases.

**DISTRICT RESPONSE**

Section (e)(1) specifies minimum operating parameter data that must be maintained for each engine. Sections (e)(3), (4), and (5) specify that in addition to the records required by (e)(1), the owner or operator of a rich-burn, lean-burn, or diesel fueled engine, respectively, "shall measure and record at least once each calendar month those operating parameters determined necessary to ensure compliance by the Air Pollution Control Officer." Each section continues by specifying a list of the parameters that may require monitoring.

Over the five years this rule has been in effect, the District has observed it is not always necessary or even possible to monitor all the listed parameters to ensure compliance. Occasionally, sources propose alternative monitoring that is sufficient. Given that determining the operating parameters to be monitored is made on a case-by-case basis, Rule 69.4 allows Air Pollution Control Officer discretion on a case-by-case basis. Moreover, since Rule 69.4 applies only to major NOx stationary sources, EPA will be reviewing these source-specific parametric monitoring determinations in conjunction with the site's Title V permits.

**2. EPA WRITTEN COMMENT**

The proposed rule allows Air Pollution Control Officer discretion regarding approval of source test protocols. The rule should specify source test frequency and methodology for each class of engine.

### **DISTRICT RESPONSE**

The District disagrees. The current State Implementation Plan approved version of Rule 69.4 does not specify source test frequency requirements. Most federal New Source Performance Standards and RACT rules do not specify source test frequency. As part of the District's overall compliance program, source testing is performed on various types of equipment as necessary to determine compliance. Frequency can be affected by the size of the source, presence of Continuous Emission Monitors or Continuous Parametric Monitors, and compliance margin and history. Testing methodologies are specified in Section (f) of the rule.

### **3. ARB WRITTEN COMMENT**

The District should include a source test requirement to verify compliance with the emission standards. It is suggested that, at a minimum, the compliance source test be conducted every 8,760 hours of operation or every 24 months, whichever period is shorter.

### **DISTRICT RESPONSE**

In addition to Rule 69.4 which implements federal RACT and applies only to engines located at major stationary sources, the District is developing Rule 69.4.1 which implements BARCT and applies to all stationary engines of 50 brake horsepower and more. Rule 69.4.1 contains a requirement to conduct a source test every 24 months, unless specified otherwise by the Air Pollution Control Officer. Since engines subject to Rule 69.4 are also subject to Rule 69.4.1, they will already be subject to the suggested source test frequency requirements.

### **4. ARB WRITTEN COMMENT**

To improve stringency and to ensure compliance with Title V record retention requirements, Section (e)(6) should be modified to include a five-year record retention requirement for Title V sources.

### **DISTRICT RESPONSE**

Title V sources will have a specific requirement to retain records for five years as a part of the federal Title V permit program. Therefore, it is not necessary to include this requirement in Rule 69.4. Moreover, should this Title V requirement change in the future, the District does not want to have to make conforming amendments to Rule 69.4 and other rules which may apply to Title V sources.



*Workshop 10/11/00  
Draft rules*

**Air Pollution Control Board**

Greg Cox	District 1
Dianne Jacob	District 2
Pam Slater	District 3
Ron Roberts	District 4
Bill Horn	District 5

**Air Pollution Control District**

R. J. Sommerville	Director
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October 3, 2000

TO: Workshop Participants  
Other Interested Parties

FROM: Richard J. Smith  
Assistant Director

**RULE 69.4 - STATIONARY RECIPROCATING INTERNAL COMBUSTION  
ENGINES - REASONABLY AVAILABLE CONTROL TECHNOLOGY (RACT)  
AND**

**NEW RULE 69.4.1 - STATIONARY RECIPROCATING INTERNAL  
COMBUSTION ENGINES - BEST AVAILABLE RETROFIT CONTROL  
TECHNOLOGY (BARCT)**

**WORKSHOP REPORT and  
SOCIOECONOMIC IMPACT ASSESSMENT**

On February 17, 2000, the San Diego County Air Pollution Control District conducted public workshops for both rules to discuss proposed amendments to Rule 69.4 and adoption of new Rule 69.4.1. The workshop report, post workshop draft of the rules, and Socioeconomic Impact Assessment for proposed Rule 69.4.1 are attached for your review.

The rules will likely be scheduled for public hearing on November 15, 2000.

If you have any questions, please call Laura Yannayon at (858) 650-4540 or me at (858) 650-4503.

*Laura Yannayon for*

RICHARD J. SMITH  
Assistant Director

Attachment

RJSm:LY:ls

cc: Andrew Steckel, EPA Region IX

9150 Chesapeake Drive • San Diego • California 92123-1096 • (858) 694-3307  
FAX (858) 694-2730 • Smoking Vehicle Hotline 1-800-28-SMOKE

AIR POLLUTION CONTROL DISTRICT  
SAN DIEGO COUNTY

**PROPOSED NEW RULE 69.4.1 - STATIONARY  
RECIPROCATING INTERNAL COMBUSTION ENGINES -  
BEST AVAILABLE RETROFIT CONTROL TECHNOLOGY**

**WORKSHOP REPORT**

A notice for a second workshop for proposed Rule 69.4.1 was mailed to all known owners and operators of stationary reciprocating internal combustion (IC) engines in San Diego County. Notices were also mailed to all Economic Development Corporations and Chambers of Commerce in San Diego County, the U.S Environmental Protection Agency (EPA), the California Air Resources Board (ARB), and other interested parties. The workshop was held on February 17, 2000. Oral and written comments were received during and after the workshop from affected businesses and ARB. The comments and District responses are as follows:

**1. WRITTEN COMMENT**

The proposed rule specifies that emergency standby engines and engines operated less than 200 hours per year are subject to source test requirements. Given the stringent annual operating restrictions placed upon emergency units, the additional requirement to source test every 24 months is excessive and unproductive. The District should exclude emergency standby engines and engines operated less than 200 hours per year from source test requirements by deleting Subsection (j)(5)(v).

**DISTRICT RESPONSE**

The District agrees. Based on the limited annual use of these engines, Subsection (b)(3) has been added to exempt these engines from the biennial Subsection (i)(1) source test requirements.

**2. WRITTEN COMMENT**

The District should revise paragraphs (h)(1)(iv) and (i)(5)(ii), which implement source testing requirements for EPA/ARB certified engines that participate in the federal Averaging, Banking and Trading (ABT) program. The ABT program includes not only engine families exceeding the certified emission level, but also engine families below the certified emission level. Therefore, source testing should not be required for engines participating in the ABT program.

**DISTRICT RESPONSE**

See the District response to Written Comment No. 3.

**3. WRITTEN COMMENT**

The District seems concerned that units participating in the ABT program may be "credit users" and therefore higher emitters than engines that are not ABT participants. However, there is no reason to assume that the net effect of these engines would outweigh the emission effect of the credit-generating units sold in the District. Since there is no basis to assume that the presence of the ABT engines will have an adverse environmental impact, source testing should not be required to demonstrate compliance.

**DISTRICT RESPONSE**

While some engines participating in the ABT program may have emission rates that comply with the Rule 69.4.1 requirements, all engines subject to Rule 69.4.1 must comply with the Section (d) emission limits. The rule initially proposed source testing (once an acceptable test method is developed) for any engine participating in the ABT program to ensure the engine operated at or below allowable emission levels. However, the rule has been revised to only require initial and ongoing source testing (once an acceptable test method is developed) for engines belonging to engine families participating in the ABT program whose certified emissions are greater than 6.9 grams per brake horsepower (g/bhp). Engines belonging to such families must be able to demonstrate individual compliance with the Section (d) emission limits.

**4. WRITTEN COMMENT**

How did the District determine that some engines are no longer considered 'portable' for the purpose of Rule 69.4.1?

**DISTRICT RESPONSE**

Permits for portable engines typically contain a requirement to notify the District upon relocation to another stationary source. If a relocation notification was not submitted to the District, or a notification showed the equipment resided at one location for more than 12 consecutive months, the equipment was no longer considered 'portable' for the purposes of evaluating the impacts of proposed Rule 69.4.1.

**5. WRITTEN COMMENT**

The Rule 20.1 definition for Portable Emission Unit states that the days portable emission units are stored in a designated holding or storage area shall not be counted toward the 12-month residence limit, provided the emission unit was not operated on that calendar day except for maintenance and was in the designated holding or storage area the entire calendar day. Does there have to be a central designated holding area or can the engine's normal work site, where greater than 50 percent of the engine operation occurs, be designated as the holding or storage area?



**DISTRICT RESPONSE**

For storage time to not count toward the 12-month residence limit, the engine must be stored in a designated storage area. The engine's normal work site does not qualify as a designated storage area.

**6. WRITTEN COMMENT**

What types of records are necessary to sustain a claim that an engine was in a designated holding or storage area?

**DISTRICT RESPONSE**

The owner or operator of a portable engine must maintain records which indicate the date and time of the engine's entrance and exit from the designated storage area.

**7. WRITTEN COMMENT**

Subsection (g)(2) states that the records specified in Subsection (g)(2)(i) are not required if total engine operations for any purpose do not exceed 52 hours per calendar year. If an engine operator maintains the records specified by (g)(2)(i), to ensure records would be available if annual operations exceeded 52 hours, could these records be used to justify issuance of a Notice of Violation?

**DISTRICT RESPONSE**

The engine operator must be able to demonstrate that calendar year operations have been less than 52 hours. If the operator can show this using the required calendar year records and the current clock hour reading, then individual operation records are not required to be maintained, and it would not be necessary to provide such records for inspection. However, if the records or engine hour meter show annual, non-emergency operating hours greater than 52 hours, they could be used to determine compliance.

**8. WRITTEN COMMENT**

Subsection (b)(1)(v) provides an exemption for any engine used exclusively in conjunction with military tactical support equipment 'operated at military sites.' This is not consistent with the State-Wide Portable Equipment Registration Program, which does not limit the operation of military tactical support equipment to military sites. The term 'operated at military sites' should be deleted.

**DISTRICT RESPONSE**

The District agrees. Subsection (b)(1)(v) has been revised to remove the term 'operated at military sites.'

**9. WRITTEN COMMENT**

The term 'after manufacturer' should be added to the definition of Add-on Control Equipment in Subsection (c)(1).

**DISTRICT RESPONSE**

The District disagrees. The function and installation location of the control equipment are the identifying characteristics of add-on control equipment.

**10. WRITTEN COMMENT**

The definition of Emergency Standby Engine in Subsection (c)(9) should be revised to include 'back-up to solar' as an allowable reason to operate the standby engine.

**DISTRICT RESPONSE**

The District disagrees. Emergency Standby Engines are to be used exclusively in 'emergency situations.' Subsection (c)(10) defines Emergency Situation as an unforeseen electrical power failure from the serving utility or of on-site electrical transmission equipment. The use of standby engine to supplement foreseeable interruptions to solar power (i.e. when the solar system does not generate enough power) is not an emergency situation.

**11. WRITTEN COMMENT**

The definition of High-use Engine would be more descriptive if it were revised to read "an engine operating at a capacity factor of greater than 15%," rather than "an engine that is not a low-use engine."

**DISTRICT RESPONSE**

The District agrees. The definition of High-use Engine has been revised as suggested.

**12. WRITTEN COMMENT**

The definition of Lean-burn Engine should clarify that compression ignition diesel engines are lean-burn engines.

**DISTRICT RESPONSE**

The District does not believe the suggested clarification is necessary. The fact that all compression ignition engines are lean-burn engines is common knowledge. For

clarification, the District will revise the lean-burn engine definition to remove the term 'operating on gaseous fuel.'

**13. WRITTEN COMMENT**

Can JP5 jet fuel be used in place of California Diesel Fuel if it is recommended by the engine manufacturer to prevent carbon buildup in the engine exhaust stack?

**DISTRICT RESPONSE**

JP5 jet fuel can only be used if it meets the sulfur and aromatic hydrocarbon content limits specified for California Diesel Fuel. The District will review the technical basis for the engine manufacturer's recommendation to determine if an exemption should be provided for this specific engine.

**14. WRITTEN COMMENT**

Subsection (g)(2)(i) requires records be maintained of emergency engine operations, indicating, 'if available,' the nature of any emergency. Many of our engines are activated automatically whenever there is a slight power disruption. Occasionally, the engines will cycle on and back off before the actual cause of the power disruption is known. Would documenting engine operation activated automatically due to unknown power disruption as 'unknown nature' be acceptable?

**DISTRICT RESPONSE**

The nature of the emergency that triggered automatic operation of the standby engine must be recorded only if the cause is known. For interruptions of more than one hour, the District expects the cause can be determined and will be recorded.

**15. WRITTEN COMMENT**

Subsection (g)(2) exempts an emergency standby engine from the recordkeeping requirement of Subsection (g)(2)(i) if total engine operations for any purpose, including emergency situations, do not exceed 52 hours in a calendar year. How would this exemption apply to an emergency standby engine that is not expected to exceed 52 hours in a calendar year, except for occurrences of a periodic flood or fire?

**DISTRICT RESPONSE**

The exemption provided in Subsection (g)(2) only applies if total engine operating hours remain below 52 hours for the entire calendar year. Subsection (g)(2)(ii) requires that total cumulative hours of operation be recorded for each calendar year. If there is any chance that engine operating hours will exceed 52 hours in a calendar year, records of all engine operations should be maintained, since they will be required for the entire calendar year, pursuant to the requirements of Subsection (g)(2)(i).

**16. WRITTEN COMMENT**

Emergency standby engines are regularly “exercised” for short durations as part of a maintenance cycle. Are these exercises to be included in the maintenance records to be kept in accordance with Sections (h) and (g) requirements?

**DISTRICT RESPONSE**

Any practice included in the maintenance procedure recommended by the manufacturer, or specified by a maintenance procedure approved in writing by the District, must be recorded as part of the maintenance records.

**17. WRITTEN COMMENT**

Subsection (h)(1)(iii) requires NO<sub>x</sub>, volatile organic compound (VOC), and carbon monoxide (CO) emission concentrations to be calculated as an average based on the results of three subtests. Subsection (i)(4) states that the averaging period to calculate such emissions shall be one hour. Some engine tests have been performed in the past with an averaging period of 15 minutes. Will these provisions now require three one-hour subtests?

**DISTRICT RESPONSE**

Subsection (h)(1)(iii) has been revised. The averaging period for each of three subtests to calculate NO<sub>x</sub>, CO, and VOC emission concentrations must be at least thirty minutes and not more than 60 minutes, unless otherwise specified in writing by the Air Pollution Control Officer. The averaging period for each subtest can be shortened if deemed appropriate by the District.

**18. WRITTEN COMMENT**

Subsection (j)(2)(ii) requires submittal of an application to modify Permit to Operate conditions as necessary to comply with the applicable requirements of proposed Rule 69.4.1. Does this requirement also apply to registered equipment such as emergency standby engines?

**DISTRICT RESPONSE**

Section (j) only applies to engines subject to the emission limits of Section (d). Some currently registered engines will need to comply with the Section (j) requirements. However, since Subsection (b)(2)(ii) exempts existing emergency standby engines from the Section (d) emission standards, applications will not be required for existing emergency standby engines.

**19. WRITTEN COMMENT**

Non-emergency operation for the existing emergency standby engine located at the nuclear power generating station should be limited to 200 hours per calendar year rather than 100 hours as proposed. In addition, any testing mandated by the Nuclear Regulatory Committee (NRC) should not be counted against the 200 hour annual limit, provided the District is notified prior to such testing.

**DISTRICT RESPONSE**

Based on existing maintenance and preparedness requirements, the District agrees that it is more appropriate to allow up to 200 hours per year of non-emergency operation of the specified engine. However, the District does not believe it necessary to exclude any NRC mandated testing from the 200-hour annual limit. Subsection (b)(2)(iii) has been revised accordingly.

**20. WRITTEN COMMENT**

The District should establish an exemption for low-use diesel engines rated below 250 bhp. Such engines should be exempted from all emission standards and other rule requirements, except documenting annual fuel consumption.

**DISTRICT RESPONSE**

The District has reviewed the emissions related to the proposed exemption. If all known low-use diesel engines rated below 250 bhp were exempted from the proposed 9 g/bhp hour NOx standard, forgone emission reductions of 9.8 tons per year of NOx could result. Additional NOx emission reductions would also be lost from any newly installed low-use engines, existing high-use engines converted to low-use, and engines currently exempted from permits.

The majority of existing low-use engines are uncontrolled or turbocharged. The cost-effectiveness of retrofitting uncontrolled engines with turbocharging and aftercooling ranges from \$0.70 to \$2.10/lb of NOx controlled. The cost-effectiveness of retrofitting turbocharged engines with aftercooling and timing retard ranges from \$0.70 to \$2.80/lb. These indicate that it is very cost-effective to control NOx emissions from these engines. Therefore, it is not appropriate to include the recommended exemption in Rule 69.4.1.

**21. WRITTEN COMMENT**

The District should exempt all low-use diesel engines which are already turbocharged and aftercooled from additional controls, all emission standards, and monitoring and recordkeeping requirements.

**DISTRICT RESPONSE**

The District has been conducting source tests to study the NOx emissions from low-use turbocharged and aftercooled engines to determine if the proposed exemption is appropriate. However, it has been difficult to schedule tests and obtain the necessary information. The District will not be able to propose such an exemption if there is insufficient data to support it.

## **22. WORKSHOP COMMENT**

Subsection (g)(2) exempts emergency standby engines from the recordkeeping requirements of Subsection (g)(2)(i) if the engine's total calendar year operation does not exceed 52 hours. The District should allow a margin of error, such as thirty minutes to one hour, to avoid unnecessary violations.

### **DISTRICT RESPONSE**

The District disagrees. The effect of allowing a margin of error would be to simply increase the exemption limit. The 52-hour exemption limit was chosen to be consistent with other District rules which already limit annual non-emergency use to 52 hours per year.

## **23. WORKSHOP COMMENT**

Does the source testing requirement for certified engines participating in the ABT program apply only to Tier 1 certified engines? In the future, ABT engines under Tier 2 and Tier 3 engines may have NOx emissions lower than 6.9 g/bhp hour. Will these engines still be subject to testing requirements because they are ABT engines?

### **DISTRICT RESPONSE**

The decision to require testing of Tier 2 and Tier 3 ABT engines will depend on the maximum allowable emission limits for averaging emissions from engine families of these two Tiers. The District has revised Rule 69.4.1 to exempt any ABT engine family with certified NOx emissions below 6.9 g/bhp hour from the source test requirements, consistent with Subsection (i)(5).

## **24. WORKSHOP COMMENT**

Many companies have identical engines located at a single stationary source. Rather than test each individual engine, the test results of representative engines for each group of identical engines should be accepted.

### **DISTRICT RESPONSE**

The proposed rule does not provide a testing exemption for similar or identical engines at the same stationary source. However, if testing demonstrates that identical engines perform similarly, the District may rely on a portable NOx analyzer to screen emissions and reduce the amount of additional source testing required.

**25. WORKSHOP COMMENT**

What type of documentation is required to demonstrate compliance with Subsection (d)(5) regarding the use of California Diesel Fuel?

**DISTRICT RESPONSE**

Fuel specification data sheets typically provided by fuel suppliers are adequate if they clearly indicate the fuel is "California Diesel Fuel."

**26. WORKSHOP COMMENT**

What type of documentation is required to demonstrate compliance with the emission standards of Section (d)? Will source testing be required for each engine to demonstrate compliance?

**DISTRICT RESPONSE**

For the initial compliance determination, source test data, portable analyzer data, manufacturer's emission data, or EPA approved emission factors may be used. For ongoing compliance determinations, a source test is required at least once every 24 months, unless the Air Pollution Control Officer specifies otherwise in writing. The District intends to use a portable NOx analyzer to determine if less frequent source testing is permissible on a case by case basis.

**27. WORKSHOP COMMENT**

Implementation of the proposed rule will cause a higher demand for source testing. This may cause delays in scheduling source tests due to a shortage of testing contractors, including the District.

**DISTRICT RESPONSE**

The District does not expect the testing requirements to create a shortage of contractors available to perform source tests. The District will make every effort to ensure adequate staffing to handle the increased source test demand. If this should become a problem in the future, it will be addressed at that time.

**28. WORKSHOP COMMENT**

According to Sections (h) and (j), all new or replacement engines operating less than 200 hours per year, or emergency standby engines, are subject to source test requirements, unless

the engines are certified engines not participating in the ABT program. This requirement will impose unnecessarily high testing costs on such low-use engines.

### **DISTRICT RESPONSE**

Please see the District response to Written Comment No.1.

### **29. ARB COMMENT**

Subsection (g)(6) requires records be maintained for at least three years. While this may be appropriate for District requirements, its falls short of the five year record retention requirements for Title V sources. To improve stringency and to ensure compliance with Title V record retention requirements, it is suggested that Subsection (g)(6) be modified to include a five-year record retention requirement for Title V sources.

### **DISTRICT RESPONSE**

The requirement for Title V sources to retain records for five years is a requirement of the Title V program specified in District Rule 1421(b)(iii). Therefore, it is not necessary to include this requirement in Rule 69.4.1 which applies to many non-Title V sites and only a few Title V sites.

### **30. ARB COMMENT**

For high-use diesel engines, the NOx concentration limit should be 80 parts per million by volume at 15% oxygen, based upon the use of selective catalytic reduction (SCR) as an after-treatment emission control that has been evaluated as cost-effective for diesel engines.

### **DISTRICT RESPONSE**

For some new high-use diesel engine installations, SCR is a cost-effective control technology. Proposed Rule 69.4.1 has the option of using add-on controls such as SCR on diesel engines provided that uncontrolled NOx emissions are reduced by not less than 90%. However, current information shows that SCR installations incur high operation and maintenance costs. Therefore, mandating this level of control may result in significant overall costs to facilities and have adverse socioeconomic impacts. Moreover, the District has been unable to identify any existing, operating diesel fueled engines in the United States that have been successfully retrofitted with SCR controls.

### **31. ARB COMMENT**

An alternate approach to controlling NOx emissions from high-use engines would be to allow re-powering with certified on-road diesel engines instead of off-road engines. A review of test data for 1999 certified on-road diesel engines revealed that the majority meet



NOx emissions of less than or equal to 4.0 grams p/bhp hour. This would be an additional NOx reduction of up to 40% below the emissions from certified off-road engines.

### **DISTRICT RESPONSE**

On-road diesel engines are designed mainly to power highway heavy-duty vehicles, which undergo continuous changes in engine speeds. Off-road engines are designed for use in both constant speed, steady load applications such as generators, compressors, pumps or varying speed applications such as tractors, cranes. Off-road engines have design and control technologies similar to stationary engines. For stationary applications like generators, compressors, pumps, which most of the engines subject to the rule fall under, using an off-road engine is the more feasible choice. In addition, on-road engines are mostly available in small range sizes of 250 bhp to 600 bhp, while off-road engines are available in a wider range of bhp.

### **32. ARB COMMENT**

As the rule allows compliance with diesel NOx concentration limits by re-powering with off-road diesel fueled engines certified to Tier 1, Tier 2, and Tier 3 standards, would the District lower the emission limits as lower emitting engines become available?

### **DISTRICT RESPONSE**

As the non-road engines of Tier 2 and Tier 3 become available, the District will review the associated data to determine if the emission limits of Rule 69.4.1 should be revised.

### **33. ARB COMMENT**

The development of an in-field test method applicable to stationary engines and capable of verifying a certified off-road engine's compliance with specified emission standards may not occur for some time. Foregoing the periodic monitoring of a source's emission for compliance and the associated air quality impacts is an unsatisfactory situation. Until another test method is developed, it is recommended that the District use the methods mentioned in Subsection (h)(1). However, it should be noted that a certified engine's NOx value is the average of NOx concentrations measured under multiple operation conditions of the certification test cycle. Therefore, it is possible that the NOx concentration of an installed certified engine running at its typical load and duty cycle could exceed the 6.9 g/bhp hour emission standard.

### **DISTRICT RESPONSE**

It is not reasonable to apply the testing requirements of Subsection (h)(1) to certified engines, since certified engines are tested by their manufacturers using the multiple mode test, with the final certified emission level being the average of emissions at multiple engine speeds and loads. Given the assurance that this average emission always complies with the certified emission level, the best alternative is to waive the testing requirements until an appropriate field test method is available. Such engines are required to perform periodic

maintenance to assure proper operation. In addition, the District is considering using a portable NOx analyzer to survey actual emissions from certified engines. If these emissions are consistently or significantly higher than the certified levels, the District will report the results to ARB and EPA for evaluation and consideration of appropriate enforcement and mitigation actions.

#### **34. ARB COMMENT**

What is the District's approach to controlling diesel exhaust particulate matter (PM)?

#### **DISTRICT RESPONSE**

The District will likely apply ARB's forthcoming Risk Management for Permitting Stationary Diesel-Fueled Engines guidance, expected in September of this year, for permitting (or registering) new diesel engines and regulating diesel particulate emissions. The District may also apply this guidance to existing diesel engines requesting increases in fuel use or operating hours. The District will further regulate particulate emissions from existing diesel fueled engines by implementing the Air Toxic Control Measure that ARB plans to promulgate in the next few years.

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# SAN DIEGO AIR POLLUTION CONTROL DISTRICT

## PROPOSED AMENDED RULE 69.4

Proposed amended Rule 69.4 to read as follows:

### **RULE 69.4. STATIONARY RECIPROCATING INTERNAL COMBUSTION ENGINES - REASONABLY AVAILABLE CONTROL TECHNOLOGY**

#### **(a) APPLICABILITY**

(1) Except as provided in Section (b), this rule shall apply to stationary internal combustion engines with a brake horsepower (~~bhp~~) ~~output~~ rating of 50 ~~bhp~~ or greater located at a major stationary source of oxides of nitrogen (NOx).

(2) An engine subject to this rule shall not be subject to Rule 68.

#### **(b) EXEMPTIONS**

(1) This rule shall not apply to the following:

(i) Engines used exclusively in connection with a structure designed for and used as a dwelling for not more than four families.

(ii) Engines used exclusively in agricultural operations for the growing of crops or the raising of fowl or animals.

(iii) Any engine when operated exclusively within a permitted test cell solely for the research, development, or testing of gas turbine engines or their components.

(iv) Any engine when operated exclusively within a permitted test cell solely for the research, development, or testing of reciprocating internal combustion engines or their components.

(2) The provisions of Section (d) of this rule shall not apply to the following:

(i) Any engine which operates less than 200 hours per calendar year.

(ii) Any emergency standby engines ~~operated either during emergency situations or for maintenance purposes~~, provided that the operation of the engine for ~~maintenance~~ non-emergency purposes does not exceed 52 hours per calendar year.

(iii) Any emergency standby engine at a nuclear power generating station subject to the requirements of the Nuclear Regulatory Commission, ~~either during emergency situations or for maintenance purposes~~, provided that the operation of the engine for ~~maintenance~~ non-emergency purposes does not exceed 200 400 500 hours per calendar year.

(iv) Any engine used exclusively in conjunction with military tactical ~~deployable support equipment~~ operated at military sites, provided that the operation of the engine does not exceed 1,000 hours per calendar year.

An owner or operator of an engine who is claiming an exemption pursuant to Subsection (b)(2) shall conduct annual maintenance of the engine as recommended by the engine manufacturer or as specified by any other maintenance procedure approved in writing by the Air Pollution Control Officer and shall maintain records in accordance with Subsections (e)(1) and (e)(2) of this rule.

#### (c) **DEFINITIONS**

For the purposes of this rule, the following definitions shall apply:

(1) **"Add-on Control Equipment"** means any technology that is used to reduce ~~oxides of nitrogen~~ emissions from the exhaust gas stream of an engine and is installed downstream of the engine.

(2) **"Brake Horsepower Output Rating, (bhp)"** means the maximum continuous brake horsepower output rating as specified by the engine manufacturer and listed on the engine nameplate, if available, regardless of any derating.

(3) **"Emergency Standby Engine"** means an engine used exclusively in emergency situations, except as provided in Subsections (b)(2)(ii) and (b)(2)(iii), to drive an electrical generator, an air compressor or a water pump.

(4) **"Emergency Situation"** means any one of the following:

(i) An unforeseen electrical power failure from the serving utility or of on-site electrical transmission equipment.

(ii) An unforeseen flood or fire, or a life-threatening situation.

(iii) Operation of emergency generators for Federal Aviation Administration licensed or military airports for the purpose of providing power in anticipation of a power failure due to severe storm activity, ~~shall be considered an emergency situation.~~

Emergency situation shall not include operation for purposes of supplying power for distribution to an electrical grid, operation for training purposes, or other foreseeable events.

(5) ~~"Existing Engine"~~ means an engine which commenced operation in San Diego County on or before September 27, 1994.

(5)(6) **"Fossil Derived Gaseous Fuel"** means gaseous fuel including, but not limited to, natural gas, methane, ethane, propane, butane, and gases stored as liquids at high pressure such as liquefied petroleum gas, ~~and~~ but excluding waste derived gaseous fuel.

(6)(7) **"Lean-Burn Engine"** means an engine that is designed to operate with an air-to-fuel ratio that is more than 1.1 times the stoichiometric air-to-fuel ratio.

(7)(8) **"Major Stationary Source of NOx"** means a stationary source ~~that~~ which emits or has the potential to emit ~~25~~ 50 tons or more of NOx per year. ~~If the San Diego County Air Pollution Control District is reclassified to a "serious" ozone non-attainment area by the federal Environmental Protection Agency, then a major stationary source of NOx will mean a stationary source that emits or has the potential to emit 50 tons or more of NOx per year.~~

(8)(9) **"Military Tactical Deployable Support Equipment"** means the same as ~~defined in Rule 2 ~~20.1~~~~ equipment operated by the United States armed forces or National Guard which is designed specifically for military use in an off road, dense terrain and/or hostile environment or on board military combat vessels and is capable of being moved from one location to another. This equipment requires the ability to perform in a uniform manner with a minimum amount of maintenance which has been standardized throughout the United States military and/or NATO forces.

(10) **"New Engine"** means an engine installed in San Diego County which commenced operation ~~after~~ September 27, 1994.

(9)(11) **"Portable Emissions Unit"** means the same as defined in Rule 20.1. ~~an~~ emission unit which is designed and equipped to be easily movable and, as installed, easily capable of being moved from one stationary source to another, as determined by the Air Pollution Control Officer. ~~Portable emission units are periodically moved and may not be located more than 180 days at any one stationary source within any consecutive 12-month period. Days when portable emission units are stored in a designated holding or storage area shall not be counted towards the 180-day limit, provided the emission unit was not~~

~~operated on that calendar day except for maintenance and was in the designated holding area the entire calendar day.~~

(10) **"Reasonably Available Control Technology (RACT)"** means the lowest emission limit that a particular source is capable of meeting by the application of control technology that is reasonably available considering technological and economic feasibility.

~~(11)~~(12) **"Rich-Burn Engine"** means an engine that is designed to operate with an air-to-fuel ratio less than or equal to 1.1 times the stoichiometric air-to-fuel ratio.

~~(12)~~(13) **"Stationary Internal Combustion Engine" or "Engine"** means a spark or compression ignited, reciprocating internal combustion engine which is not a portable emissions unit.

~~(13)~~(14) **"Stationary Source"** means the same as is defined in Rule ~~20.1~~ 2.

~~(14)~~(15) **"Stoichiometric Air-to-Fuel Ratio"** means the chemically balanced air-to-fuel ratio at which all fuel and all oxygen in the air and fuel mixture are theoretically consumed by combustion.

~~(15)~~(16) **"Uncontrolled NOx Emissions"** means NOx emissions from an engine ~~calculated in parts per million by volume as nitrogen dioxide at 15% oxygen on a dry basis or in grams of NOx per brake horsepower-hour, before application of add-on air pollution control equipment or combustion modifications.~~

~~(16)~~(17) **"Waste Derived Gaseous Fuel"** means gaseous fuel including, but not limited to, ~~sewage sludge digester gas and landfill gas, and~~ but excluding fossil derived gaseous fuel.

(d) **STANDARDS**

(1) A person shall not operate a stationary internal combustion engine subject to this rule unless:

~~(i)~~(ii) Uncontrolled NOx emissions from the following engines such engine are reduced with add-on control equipment by not less than the following:

<u>Engine Category</u>	<u>Weight Percent Reduction</u>
Rich-burn engines using <del>exclusively</del> fossil derived gaseous fuel or gasoline	90
Lean-burn engines using <del>exclusively</del> fossil derived gaseous fuel	80
Engines using <u>exclusively</u> waste derived gaseous fuel	80
<del>Engine using diesel or kerosene fuel</del>	<del>25</del>

or

~~(ii)(i)~~ The emissions of ~~oxides of nitrogen (NO<sub>x</sub>)~~, ~~calculated~~ in parts per million by volume (ppmv), ~~calculated~~ as nitrogen dioxide at 15% oxygen on a dry basis, ~~or in grams of NO<sub>x</sub> per brake horsepower-hour~~, are not greater than the following:

<u>Engine Category</u>	<u>Concentration of NO<sub>x</sub> g/bhp-hr (ppmv)</u>
Rich-burn engines using <del>exclusively</del> fossil derived gaseous fuel or gasoline	<u>0.9</u> <del>(50)</del>
Lean-burn engines using <del>exclusively</del> fossil derived gaseous fuel	<u>2.3</u> <del>(125)</del>
Engines using <del>exclusively</del> waste derived gaseous fuel	<u>2.3</u> <del>(125)</del>
Engines using diesel or kerosene fuel	<u>9.0</u> <del>(700)</del>

(2) For all engines subject to Subsection (d)(1) of this rule, emissions of carbon monoxide (CO), ~~calculated in parts per million by volume (ppmv)~~ at 15% oxygen on a dry basis, shall not exceed 4,500 ppmv.

(3) An owner or operator of an engine subject to this rule shall conduct annual maintenance of the engine as recommended by the engine manufacturer or as specified by any other maintenance procedure approved in writing by the Air Pollution Control Officer.

#### **(e) MONITORING AND RECORDKEEPING REQUIREMENTS**

(1) An owner or operator of an engine subject to this rule shall keep the following records. ~~These~~ The records required by Subsection (e)(1) ~~this section~~ shall be kept on-site for at least the same period of time as the engines to which the records apply ~~are~~ is located at the site:

(i) engine manufacturer name and model number;

(ii) brake horsepower output rating;

(iii) combustion method (i.e. rich-burn or lean-burn);

(iv) fuel type; ~~and~~

(v) a manual of ~~the most recent~~ recommended maintenance as provided by the engine manufacturer, or other maintenance procedure as approved in writing by the Air Pollution Control Officer; and

(vi) records of annual engine maintenance including dates of maintenance performed.

(2) In addition to the records required by Subsection (e)(1), an owner or operator of an engine exempt under Subsection (b)(2) from the requirements of Section (d) shall maintain an operating log containing, at a minimum, the following:

(i) dates and times of engine operation, ~~indicating, if applicable, If~~ applicable, indicate whether the operation was ~~during emergency situation or for maintenance~~ non-emergency purposes ~~or during an emergency situation~~ and the nature of ~~any the~~ emergency, if available ~~if known~~; and

(ii) total cumulative ~~annual~~ hours of operation, ~~per calendar year based on~~ actual readings of the engine hour or fuel meter.

The records specified in Subsection (e)(2)(i) are not required if total engine operations for any purpose, including emergency situations, do not exceed 52 hours in a calendar year.

(3) In addition to the records required by Subsection (e)(1), an owner or operator of a rich-burn engine subject to the requirements of Section (d) ~~using add-on control equipment~~ shall keep the following measure and record at least once each calendar monthly records those operating parameters determined necessary to ensure compliance by the Air Pollution Control Officer. Such operating parameters may include but are not limited to:

(i) temperature of the inlet and outlet of the control ~~device~~ equipment;

(ii) engine air-to-fuel ratio; and

(iii) engine inlet manifold temperature and pressure.

(4) In addition to the records required by Subsection (e)(1), an owner or operator of a lean-burn engines using ~~exclusively~~ fossil derived gaseous fuel subject to the requirements of Section (d) shall also keep the following measure and record at least once each calendar monthly records those operating parameters determined necessary to ensure compliance by the Air Pollution Control Officer. Such operating parameters may include but are not limited to:

(i) engine air-to-fuel ratio ~~and~~ or automatic air-to-fuel ratio control signal voltage;

(ii) engine exhaust gas temperature; and



(iii) engine inlet manifold temperature and pressure.

(5) In addition to the records required by Subsection (e)(1), an owner or operator of an engine using diesel fuel subject to the requirements of Section (d) shall also ~~keep monthly records of operating parameters that are necessary to demonstrate continuous compliance, such as~~ measure and record at least once each calendar month those operating parameters determined necessary to ensure compliance by the Air Pollution Control Officer to ensure compliance. Such operating parameters may include but are not limited to:

- (i) engine air-to-fuel ratio;
- (ii) engine exhaust gas temperature; and
- (iii) engine inlet manifold temperature and pressure.

(6) ~~Except as otherwise specified in this rule, all~~ All records required by Subsections (e)(2) through (e)(5) shall be retained on-site for at least three years and made available to the District upon request.

**(f) TEST METHODS**

(1) To determine compliance with Section (d), measurement of ~~oxides of nitrogen~~ NOx, ~~carbon monoxide~~ CO, carbon dioxide (CO2) and ~~stack gas engine exhaust~~ oxygen content of exhaust gas shall be ~~conducted~~ determined in accordance with the ~~Air Resources Board (ARB) San Diego County Air Pollution Control District Test Method 100, Air Resources Board (ARB) Test Method 100 or equivalent Environmental Protection Agency (EPA) Test Method~~ and a source test protocol approved in writing by the Air Pollution Control Officer.

(2) The averaging period to calculate NOx and ~~CO carbon monoxide~~ CO emission concentrations and to determine compliance shall be at least ~~thirty~~ 30 minutes and not more than 60 minutes. NOx and CO carbon monoxide emission concentrations shall be calculated as an average of three District Test Method 100 subtests. The duration of each subtest shall be at least 30 consecutive minutes.

(3) Emissions source testing, if applicable, shall be performed at no less than 80 percent of the brake horsepower ~~output~~ rating. If an owner or operator of an ~~existing~~ engine demonstrates to the satisfaction of the Air Pollution Control Officer that the engine cannot operate at these conditions, then emissions source testing shall be performed at the highest achievable continuous brake horsepower rating or under the typical duty cycle or typical operational mode of the engine.

**~~(g)~~ COMPLIANCE SCHEDULE**

~~The owner or operator of an engine subject to the requirements of Section (d) of this rule shall meet the following increments of progress:~~

- ~~(1) For an existing engine which does not need modification and/or add-on control equipment, submit documentation showing that the engine is in compliance with all applicable rule requirements not later than May 31, 1995.~~
- ~~(2) For an existing engine which requires modification and/or add-on control equipment:
  - ~~(i) By January 27, 1995, submit to the Air Pollution Control Officer an application for Authority to Construct and Permit to Operate a modified engine or add-on control equipment as necessary to comply with the applicable requirements of Section (d).~~
  - ~~(ii) By May 31, 1995, modify the engine or install add-on control equipment as necessary to comply with the applicable requirements of Section (d).~~~~
- ~~(3) For a new engine, comply with all applicable requirements of this rule upon installation and startup.~~

# SAN DIEGO AIR POLLUTION CONTROL DISTRICT

## PROPOSED NEW RULE 69.4.1

Proposed new Rule 69.4.1 to read as follows:

### **RULE 69.4.1. STATIONARY RECIPROCATING INTERNAL COMBUSTION ENGINES - BEST AVAILABLE RETROFIT CONTROL TECHNOLOGY**

#### **(a) APPLICABILITY**

(1) Except as provided in Section (b), this rule shall apply to stationary internal combustion engines with a brake horsepower (bhp) rating of 50 or greater.

(2) An engine subject to this rule and located at a major stationary source of oxides of nitrogen (NOx) is also subject to the applicable requirements of Rule 69.4.

(3) An engine subject to this rule shall not be subject to Rule 68.

#### **(b) EXEMPTIONS**

(1) This rule shall not apply to the following:

(i) Engines used exclusively in connection with a structure designed for and used as a dwelling for not more than four families.

(ii) Engines used exclusively in agricultural operations for the growing of crops or the raising of fowl or animals.

(iii) Any engine when operated exclusively within a permitted test cell solely for the research, development, or testing of gas turbine engines or their components.

(iv) Any engine when operated exclusively within a permitted test cell solely for the research, development, or testing of reciprocating internal combustion engines or their components.

(v) Any engine used exclusively in conjunction with military tactical support equipment operated at military sites.

(2) The provisions of Subsections Section (d)(1) through (d)(3), (e)(1), (e)(2), (f)(1), (f)(3), (g)(3), (g)(4), (g)(5) and (i)(1) except for Subsection (d)(5), of this rule shall not apply to the following engines:

(i) Any existing engine which operates less than 200 hours per calendar year, as determined by a non-resettable meter that measures elapsed operating time.

(ii) Any existing emergency standby engine provided that operation of the engine for non-emergency purposes does not exceed 52 hours per calendar year. Operation for testing or maintenance purposes, for not more than 100 hours per year, may be allowed with written authorization from the Air Pollution Control Officer, provided that an owner or operator demonstrates to the satisfaction of the Air Pollution Control Officer that such additional operation operating time is necessary.

(iii) Any existing emergency standby engine at a nuclear power generating station subject to the requirements of the Nuclear Regulatory Commission provided that operation of the engine for non-emergency purposes does not exceed 200 100 500 hours per calendar year.

~~(iv) Any existing aircraft ground power unit with a manufacturer's rating of 200 brake horsepower or less, provided that the engine is not located at a major stationary source, and operation of the engine does not exceed 750 hours per calendar year.~~

~~(v) Any engine used exclusively in conjunction with military tactical support equipment operated at military sites.~~

~~(iv) Existing engines located at a natural gas transmission station and manufactured before 1960, provided that each engine operates less than 300 hours per calendar year and the total operating hours for all engines are less than 800 hours per calendar year.~~

(3) The provisions of Subsections (e)(1), (e)(2), (f)(1), (g)(3), (g)(4), (g)(5) and (i)(1) of this rule shall not apply to:

(i) Any new or replacement emergency standby engine, provided that operation of the engine for non-emergency purposes does not exceed 52 hours per calendar year. Operation for testing or maintenance purposes, for not more than 100 hours per year, may be allowed with written authorization from the Air Pollution Control Officer, provided that an owner or operator demonstrates to the satisfaction of the Air Pollution Control Officer that such additional operation is necessary.

(ii) Any new or replacement engine which operates less than 200 hours per calendar year, as determined by a non-resettable meter that measures elapsed operating time.

(4) The provisions of Subsections (d)(1) through (d)(3) of this rule shall not apply to existing low-use diesel engines equipped with any two of the following: turbocharger, aftercooler, or injection timing retard by 4 degrees.

An owner or operator of an engine who is claiming an exemption pursuant to this Subsections (b)(2), (b)(3) or (b)(4) ~~shall comply with the requirements of Subsection (f)(2) conduct annual maintenance of the engine as recommended by the engine manufacturer or as specified by any other maintenance procedure approved in writing by the Air Pollution Control Officer and~~ shall maintain records in accordance with Subsections (g)(1) and (g)(2) of this rule.

### (c) DEFINITIONS

For the purposes of this rule, the following definitions shall apply:

(1) **"Aircraft Ground Power Unit (GPU)"** means an electric generator with a piston type internal combustion engine and used to supply electrical power to an aircraft during embarking and disembarking of crew and passengers and during loading and unloading of cargo.

(1)(2) **"Add-on Control Equipment"** means any technology that is used to reduce emissions from the exhaust gas stream of an engine and is installed downstream of the engine.

(2)(3) **"Best Available Retrofit Control Technology (BARCT)"** means an emission limitation that is based on the maximum degree of reduction achievable, taking into account environmental, energy, and economic impacts by each class or category of source.

(3)(4) **"Brake Horsepower Rating, (bhp)"** means the maximum continuous brake horsepower rating as specified by the engine manufacturer and listed on the engine nameplate, if available, regardless of any derating.

(4)(5) **"Calendar Year"** means the same as defined in Rule 2.

(5)(6) **"California Diesel Fuel"** means any fuel that is commonly or commercially known, sold or represented as diesel fuel No. 1-D or No. 2-D, and which meets the requirements specified in Title 13, California Code of Regulations, Section 2281 and 2282.

(6)(7) **"Capacity Factor"** means the ratio, expressed as a percentage, of the annual fuel consumption to the manufacturer's specified maximum annual fuel consumption or manufacturer's specified maximum hourly fuel consumption times 8760 hours, whichever is less.

(7) **"Certified Engine"** means an engine certified to comply with the Tier 1, Tier 2, or Tier 3 emission standards specified in Section 89.112 of the Code of Federal Regulations (40 CFR Part 89) - Control of Emissions of Air Pollution from Non-Road Diesel Engines or with the Tier 1, Tier 2, or Tier 3 emission standards specified in Section 2423 of Title 13 of the California Code of Regulations - California Regulations for New 1996 and Later Off-Road Compression-Ignition Engines.

(8) **"Cyclic Engine"** means an engine, such as gantry cranes, having an external load which varies by approximately 40 percent or more of rated capacity under normal operating conditions during any load cycle. ~~Load cycle for cyclic engines shall not be less than 30 seconds or greater than one half hour.~~

(9) **"Emergency Standby Engine"** means an engine used exclusively in emergency situations, except as provided in Subsections (b)(2)(ii), and (b)(2)(iii), and (b)(3)(i), to drive an electrical generator, an air compressor or a water pump.

(10) **"Emergency Situation"** means any one of the following:

(i) An unforeseen electrical power failure from the serving utility or of on-site electrical transmission equipment.

(ii) An unforeseen flood or fire, or a life-threatening situation.

(iii) Operation of emergency generators for Federal Aviation Administration licensed or military airports for the purpose of providing power in anticipation of a power failure due to severe storm activity.

Emergency situation shall not include operation for purposes of supplying power for distribution to an electrical grid, operation for training purposes, or other foreseeable events.

(11) **"Engine Family"** means a group of engines expected to have similar emission and other characteristics throughout their useful life as specified in Section 89.116, 40 CFR 89.

(12) **"Engine Tampering"** means removing or rendering inoperative any device or design element of the engine or its emission control system; or the manufacturing, or installation of a part or a component which objective is to bypass, defeat, or render inoperative a device or design element of the engine or its emission control system.

(11) **"Exempt Compound"** means the same as defined in Rule 2.

(13)(12) **"Existing Engine"** means an engine which commenced operation in San Diego County on or before (*date of adoption*).

(14)(13) **"Fossil Derived Gaseous Fuel"** means gaseous fuel including, but not limited to, natural gas, methane, ethane, propane, butane, and gases stored as a liquid at high pressure such as liquefied petroleum gas, but excluding waste derived gaseous fuel.

(15)(14) **"High-use Engine"** means an engine operating at a capacity factor of greater than 15%. ~~which is not a low-use engine.~~

(16)(15) **"Lean-burn Engine"** means an engine operating on gaseous fuel and that is designed to operate with an air-to-fuel ratio that is more than 1.1 times the stoichiometric air-to-fuel ratio.

(17)(16) **"Load Cycle"** means the time interval between consecutive commencement of application of external load to an engine.

(18)(17) **"Low-use Engine"** means an engine ~~with a manufacturer's rating of 350 bhp or less,~~ operating at a capacity factor of 15% or less.

(19)(18) **"Major Stationary Source of NOx"** means a stationary source which emits or has the potential to emit 50 tons or more of NOx per year.

(19) (20)(19) **"Military Tactical Support Equipment"** means the same as defined in Rule 2 20.1.

(20) (21)(20) **"New Engine"** means an engine which commenced operation in San Diego County after (*date of adoption*).

(21) (22)(21) **"Portable Emission Unit"** means the same as defined in Rule 20.1.

(22) (23)(22) **"Replacement Engine"** means an engine that meets the definition of a replacement emission unit in Rule 20.1.

(23) (24)(23) **"Rich-Burn Engine"** means an engine operating on gaseous fuel and that is designed to operate with an air-to-fuel ratio less than or equal to 1.1 times the stoichiometric air-to-fuel ratio.

(24) (25)(24) **"Stationary Internal Combustion Engine" or "Engine"** means a spark or compression ignited, reciprocating internal combustion engine which is not a portable emission unit.

(25) (26)(25) **"Stationary Source"** means the same as defined in Rule 2.

~~(26)~~ ~~(27)~~(26) "Stoichiometric Air-to-Fuel Ratio" means the chemically balanced air-to-fuel ratio at which all fuel and all oxygen in the air and fuel mixture are theoretically consumed by combustion.

~~(27)~~ ~~(28)~~(27) "Uncontrolled NOx Emissions" means NOx emissions from an engine before application of add-on control equipment.

~~(28)~~ ~~(29)~~(28) "Volatile Organic Compound (VOC)" means the same as defined in Rule 2.

~~(29)~~ ~~(30)~~(29) "Waste Derived Gaseous Fuel" means gaseous fuel including, but not limited to, digester gas and landfill gas, but excluding fossil derived gaseous fuel.

(d) STANDARDS

(1) A person shall not operate a stationary internal combustion engine subject to this rule unless:

~~(i)~~(ii) Uncontrolled NOx emissions from the following engines are reduced with add-on control equipment by not less than the following:

<u>Engine Category</u>	<u>Weight Percent Reduction</u>
Rich-burn engines using fossil derived gaseous fuel or gasoline	96
Lean-burn engines using fossil derived gaseous fuel	90
Engines using exclusively waste derived gaseous fuel	90
High-use engines using diesel or kerosene fuel	<u>90</u> 80

or

~~(ii)~~(i) The emissions of ~~oxides of nitrogen~~ (NOx), in parts per million by volume (ppmv), calculated as nitrogen dioxide at 15% oxygen on a dry basis, or in grams of NOx per brake horsepower-hour, as indicated, are not greater than the following:

<u>Engine Category</u>	<u>NOx Concentration of NOx g/bhp-hr (ppmv)</u>
Rich-burn engines using fossil derived gaseous fuel or gasoline	<u>0.45</u> <del>(25)</del> ppmv
Rich-burn engines using exclusively waste derived gaseous fuel	<u>0.9</u> <del>(50)</del> ppmv
Lean-burn engines <u>using gaseous fuel</u>	<u>1.2</u> <del>(65)</del> ppmv



Existing low-use engines <del>and cyclic engines</del> , using diesel or kerosene fuel	9.0 g/bhp-hr or <del>{700}</del> ppmv
<u>Existing cyclic engines, using diesel or kerosene fuel</u>	9.0 g/bhp-hr or 700 ppmv
High-use engines, <del>or any new or replacement low-use or cyclic engine, with a rating of less than 175 bhp or more than 750 bhp and</del> using diesel or kerosene fuel	6.9 g/bhp-hr or <del>{535 530}</del> ppmv
<u>New or replacement low-use engines using diesel or kerosene fuel</u>	6.9 g/bhp-hr or 535 ppmv
<u>New or replacement cyclic engines using diesel or kerosene fuel</u>	6.9 g/bhp-hr or 535 ppmv
<del>High-use engines, or any new or replacement low-use or cyclic engine, with a rating of 175 bhp to 750 bhp, inclusive, and using diesel or kerosene fuel.</del>	450

(2) For all engines subject to Subsection (d)(1) of this rule, emissions of carbon monoxide (CO), calculated at 15% oxygen on a dry basis, shall not exceed 4,500 ppmv.

(3) For all rich-burn engines using fossil or waste derived gaseous fuel or gasoline and subject to Subsection (d)(1) of this rule, emissions of ~~volatile organic compounds~~ (VOC), calculated as methane at 15% oxygen on a dry basis, shall not exceed 250 ppmv.

~~(4) For all other engines subject to Subsection (d)(1) of this rule, emissions of VOC, calculated as methane at 15% oxygen on a dry basis, shall not exceed 750 ppmv.~~

~~(4)(5)~~ Effective ~~(12 months after the date of adoption)~~, any Any engine subject to this rule and operating on diesel fuel shall use only California Diesel Fuel.

#### (e) MONITORING REQUIREMENTS

(1) An owner or operator of an engine without add-on control equipment, except engines specified in Subsections (b)(2) or (b)(3), and subject to the requirements of Section (d) shall monitor the operating parameters operational characteristics of the engine recommended by the engine manufacturer and any additional operating parameters identified as approved by the Air Pollution Control Officer. Such operating parameters operational characteristics may include, but are not limited to:

- (i) engine air-to-fuel ratio;
- (ii) engine inlet manifold temperature and pressure; and
- (iii) oxygen content of the exhaust gas.

Where the Air Pollution Control Officer determines that it is not feasible to monitor operating parameters of an engine or such monitoring may not be indicative of air contaminant emissions, the requirements of this subsection may be waived provided that periodic inspection and maintenance are conducted as specified in Section (f).

(2) An owner or operator of an engine with add-on control equipment shall install, operate and maintain in calibration, devices that continuously monitor the operational characteristics of the engine and any NOx emission reduction system as determined necessary to ensure compliance by the Air Pollution Control Officer. Such operational characteristics, ~~if applicable,~~ may include but are not limited to:

- (i) engine air-to-fuel ratio;
- (ii) temperature of exhaust gas at the inlet and outlet of the add-on control equipment;
- (iii) oxygen content of exhaust gas at the inlet and outlet of the add-on control equipment; and
- (iv) flow rate of NOx reducing agent added to the engine exhaust gas.

(3) An owner or operator of an engine subject to this rule shall install a non-resettable totalizing fuel meter and/or non-resettable meter that measures elapsed operating time as determined appropriate by the Air Pollution Control Officer.

**(f) INSPECTION AND MAINTENANCE REQUIREMENTS**

(1) An owner or operator of any engine subject to ~~the emission limits requirements of Section (d) of~~ this rule, ~~except engines specified in Subsection (b)(2),~~ shall conduct periodic inspections of the engine and any ~~air pollution~~ add-on control equipment, as applicable, to ensure that the engine and control equipment is operated in compliance with the provisions of this rule. Inspections shall be conducted ~~every~~ at least once every 4,000 hours of operation, or every six months, whichever is less.

(2) An owner or operator of ~~an any~~ engine ~~exempt under Subsection (b)(2) or~~ subject to ~~the emission limits requirements of Section (d) of~~ this rule shall conduct ~~annual~~ periodic maintenance of the engine and any ~~air pollution~~ add-on control equipment, as applicable, as recommended by the engine and control equipment manufacturers or as specified by any other maintenance procedure approved in writing by the Air Pollution

Control Officer. The periodic maintenance shall be conducted at least once each calendar year.

(3) Notwithstanding the frequencies specified in Subsections (f)(1) and (f)(2), the Air Pollution Control Officer may require an owner or operator of an engine subject to the requirements of Section (d) to conduct inspections and/or maintenance of the engine and any associated add-on control equipment more frequently if deemed necessary to assure compliance with this rule.

**(g) RECORDKEEPING REQUIREMENTS**

(1) An owner or operator of an engine subject to this rule shall keep the following records; ~~and These~~ The records required by Subsection (g)(1) shall be kept maintain these records on-site for at least the same period of time as the engine to which the records apply is located at the site;:

- (i) engine manufacturer name and model number;
- (ii) brake horsepower rating;
- (iii) combustion method (i.e. rich-burn or lean-burn);
- (iv) fuel type; ~~and~~
- (v) California Diesel Fuel certification, if applicable; and
- ~~(vi)(v)~~ a manual of the most recent recommended maintenance as provided by the engine manufacturer, or other maintenance procedure as approved in writing by the Air Pollution Control Officer.

Where the information specified in Subsections (g)(1)(i) through (g)(1)(iv) is contained in a District Permit to Operate or a Certificate of Registration, and is the most current information, an additional record of this information shall not be required.

(2) In addition to the records required by Subsection (g)(1), an An owner or operator of an engine exempt pursuant to under Subsections (b)(2), or (b)(3) from the requirements of Section (d) shall maintain an operating log containing, at a minimum, the following:

- (i) dates and times of engine operation, indicating, if applicable, If applicable, indicate whether the operation was during emergency situations or for

non-emergency purposes or during an emergency situation and the nature of any the emergency, if available; ~~and~~

(ii) total cumulative ~~annual~~ hours of operation; per calendar year, based on actual readings of engine hour or fuel meter; and

(iii) records of periodic annual engine maintenance including dates maintenance was performed.

The records specified in Subsection (g)(2)(i) are not required if total engine operations for any purpose, including emergency situations, do not exceed 52 hours in a calendar year.

(3) In addition to the records required by Subsection (g)(1), an An owner or operator of an engine subject to this rule, the emission limits requirements of Section (d) except engines specified in Subsections (b)(2) or (b)(3), shall maintain a log containing at a minimum, the following:

(i) records of engine inspection, including dates an inspection was performed; and

(ii) records of engine maintenance, including dates maintenance was performed and the nature of the maintenance.

(4) In addition to the records required by Subsections (g)(1) and (g)(3), an An owner or operator of an rich burn engine using fossil or waste derived gaseous fuel or gasoline and subject to this rule, the emission limits requirements of Section (d) except engines specified in Subsections (b)(2) or (b)(3), shall measure and record at least once each calendar month those the applicable operating parameters, as specified in identified pursuant to Subsections (e)(1) or (e)(2), and as determined necessary to ensure compliance by the Air Pollution Control Officer. Such operating parameters may include but are not limited to:

(i) engine air to fuel ratio;

(ii) engine inlet manifold temperature and pressure; and

(iii) temperature of the inlet and outlet of the control equipment.

(5) In addition to the records required by Subsections (g)(1) and (g)(3), an owner or operator of a lean burn engine subject to the requirements of Section (d) shall also measure and record at least once each calendar month those operating parameters,

~~determined necessary to ensure compliance by the Air Pollution Control Officer. Such operating parameters may include but are not limited to:~~

- ~~(i) engine air to fuel ratio or automatic air to fuel ratio control signal voltage;~~
- ~~(ii) exhaust gas temperature;~~
- ~~(iii) engine inlet manifold temperature and pressure; and~~
- ~~(iv) flow rate of NOx reducing agent added to the exhaust gas.~~

~~(5)(6) In addition to the records required by Subsection (g)(1) and (g)(3), an An~~  
owner or operator of an low-use engine operating on using diesel or kerosene fuel and  
subject to the requirements emission limits requirements of Section (d)(1) shall maintain  
records of total cumulative hours of operation or total fuel consumption per calendar year,  
as applicable. ~~also measure and record at least once each calendar month those operating~~  
~~parameters, determined necessary to ensure compliance by the Air Pollution Control~~  
~~Officer. Such operating parameters may include but are not limited to:~~

- ~~(i) engine air to fuel ratio;~~
- ~~(ii) exhaust gas temperature;~~
- ~~(iii) engine inlet manifold temperature and pressure; and~~
- ~~(iv) flow rate of NOx reducing agent added to the exhaust gas.~~

~~(6)(7) All records required by Subsections (g)(2) through (g)(6)(5) shall be retained~~  
on-site for at least three years and made available to the District upon request.

#### (h) TEST METHODS

(1) All testing performed to determine compliance with the emission limits of  
Subsections (d)(1), (d)(2), and/or (d)(3) and/or (d)(4), except as provided in Subsection  
(h)(3)(1)(iv) of this rule, shall be conducted in accordance with the following procedures:

- (i) Measurement of oxides of nitrogen, carbon monoxide NOx, CO, carbon dioxide (CO2) and oxygen content of exhaust gas for engines operating on gaseous fuel or gasoline, or engines operating on diesel or kerosene fuel with add-on control equipment shall be determined in accordance with the San Diego County Air

Pollution Control District Test Method 100, Air Resources Board (ARB) Test Method 100 or equivalent Environmental Protection Agency (EPA) Test Method.

(ii) Measurement of VOC emissions shall be determined in accordance with EPA Test Methods 25A and/or 18.

(iii) NO<sub>x</sub>, VOC, and CO emissions concentration shall be calculated as an average of three ~~District Test Method 100~~ subtests. The averaging period to calculate NO<sub>x</sub> and CO emission concentrations and to determine compliance shall be at least 30 minutes and not more than 60 minutes unless otherwise specified in writing by the Air Pollution Control Officer.

(2) Specifications for California Diesel Fuel, if not provided by a vendor, shall be determined by the most current version of ASTM Test Method D975 – Standard Specification for Diesel Fuel Oils; the test methods specified in Title 13, California Code of Regulation, Sections 2281 and 2282.

~~(3)(iv)~~ For any engine operating on diesel or kerosene fuel without add-on control equipment and certified by EPA or ARB at an emission rate equal to or below the applicable emission limits of Section (d), measurements of NO<sub>x</sub>, CO, CO<sub>2</sub>, and oxygen content of exhaust gas shall be conducted in accordance with a test method approved by the District and ARB. Until such test method is approved, such engine shall be deemed in compliance with the emission limits of Section (d) provided the requirements of Subsection (i)(4)(5) are met, and provided that, such engine does not belong to an engine family participating in the federal averaging, banking, and trading (ABT) program specified in 40 CFR 89, Subpart C and adopted by reference by ARB.

(4) If a portable emission analyzer is used to provide emission data, the analyzer shall be calibrated and operated in accordance with a protocol approved by the Air Pollution Control Officer.

(i) **SOURCE TEST REQUIREMENTS**

Except as provided in Subsection (i)(4)(5), source tests shall be conducted according to the following:

(1) After initial compliance has been determined, Any any engine subject to the requirements of Section (d) Subsections (d)(1), (d)(2), and/or (d)(3) and/or (d)(4) shall be source tested at least once every 8,760 hours of operation or every 24 months, whichever period is shorter, unless otherwise specified in writing by the Air Pollution Control Officer.

(2) Emissions source testing shall be conducted using the test methods specified in Section (h) and a source test protocol approved in writing by the Air Pollution Control Officer prior to testing.

(3) Emissions source testing shall be performed at no less than 80 percent of the brake horsepower rating. If an owner or operator of an engine demonstrates to the satisfaction of the Air Pollution Control Officer that the engine ~~cannot~~ does not operate at these conditions, then emissions source testing shall be performed at the highest achievable continuous brake horsepower rating or under the typical duty cycle or typical operational mode of the engine.

~~(4) The averaging period to calculate NOx and CO emission concentrations for purposes of determining compliance with this rule shall be one hour unless otherwise specified in writing by the Air Pollution Control Officer.~~

~~(4)(5)~~ Notwithstanding the requirements of Subsection (i)(1), any engine operating on diesel or kerosene fuel without add-on control and certified by EPA or ARB at emission rates equal to or below the applicable emission limits of Section (d) shall not require an initial or periodic source test, until an appropriate test method is approved by the District and ARB, provided the following requirements are met:

(i) The engine family has been tested and certified according to an EPA or ARB approved procedure, and the certification documents are provided to the District.

(ii) The engine family does not participate in the federal ABT program specified in 40 CFR 89, Subpart C and adopted by reference by ARB.

(iii) The engine and its emission control system are maintained as specified in Section (f).

(iv) There is no evidence of engine tampering.

(j) **COMPLIANCE SCHEDULE**

(1) For an engine operating on diesel fuel, comply with the requirements of Subsection (d)(4) by (six months after date of adoption).

(2) The owner or operator of an existing engine subject to the requirements emission limits requirements of Section (d) of this rule shall meet the following increments of progress:

(1) For an existing engine operating on diesel fuel, comply with the requirements of Subsection (d)(5) by (six months after date of adoption).

(2)(1) For an existing engine which does not need modification, replacement and/or add-on control equipment:

(i) By (six months after date of adoption), submit to the Air Pollution Control Officer documentation showing that the engine is in compliance with all applicable requirements of this rule.

(i)(ii) By (six months ~~one year~~ after date of adoption), submit to the Air Pollution Control Officer an application to modify conditions on the Permit to Operate or to convert a Certificate of Registration to a Permit to Operate, as necessary to comply with the applicable requirements of this rule. The application shall include the following information:

(A) The information required by Section (e)(i),

(B) emission rate data and source of such data, and

(C) description of how compliance will be achieved (e.g. retrofit, replacement).

(3)(2) For an existing engine which requires modification, replacement and/or add-on control equipment:

(i) By (one year after date of adoption), submit to the Air Pollution Control Officer an application for Authority to Construct and Permit to Operate a modified or replacement engine and/or add-on control equipment as necessary to comply with the NOx emission limits specified in Subsection Section (d)(1) and with all other applicable requirements of this rule.

(ii) By (two years after date of adoption), submit to the Air Pollution Control Officer documentation which demonstrates that the engine is in compliance with the Section (d)(1) through (d)(3) emission limits for NOx, CO and VOC, and all other applicable requirements of this rule.



(ii) By (two years after date of adoption), modify or replace the engine and/or install add-on control equipment and demonstrate compliance with the NO<sub>x</sub> emission limits specified in Subsection Section (d)(1) and with all other applicable requirements of this rule.

(3) (4)(3) For a new or replacement engine, including a new or replacement engine operating less than 200 hours per calendar year or a new or replacement emergency standby engine, comply with all applicable requirements of this rule upon installation and startup.

(5) (4) For a new or replacement engine operating less than 200 hours per calendar year, or for a new or replacement emergency standby engine using diesel or kerosene fuel, and installed to replace an engine specified in Subsections (b)(2)(i) through (b)(2)(iv) comply with:

- (i) the applicable emission limits of Section (d);
- (ii) the monitoring requirements of Subsection (e)(3);
- (iii) the maintenance requirements of Subsection (f)(2);
- (iv) the recordkeeping requirements of Subsections (g)(1) and (g)(2); and
- (v) the source test requirements of Section (i)

of this rule upon installation and startup.

Any other monitoring, inspection and maintenance, recordkeeping, and testing requirements of Sections (e), (f), (g) and (h), respectively, shall not apply to these engines.