

SAN DIEGO AIR POLLUTION CONTROL DISTRICT  
10124 OLD GROVE ROAD, SAN DIEGO, CA 92131-1649  
PHONE (858) 586-2600 • FAX (858) 586-2601

<b>CERTIFICATE OF COMPLIANCE &amp; CERTIFICATE OF REGISTRATION</b>
<b>RULE 12.1</b>
<b>BEC/FS: APCD2019-CON-001560/34X</b>

<b>San Diego APCD Use Only</b>
<b>APP/Reg. No.:</b>
<b>ID No.:</b>
<b>Existing P/O No.:</b>

**Portable Diesel Internal Combustion (New) for Emergency or Low Use Only**

Name of Owner (DBA): \_\_\_\_\_

Legal Owner (if different from DBA): \_\_\_\_\_

Equipment Description: Year: \_\_\_\_\_ Manufacturer: \_\_\_\_\_

Model No.: \_\_\_\_\_ Serial No.: \_\_\_\_\_ HP Rating: \_\_\_\_\_ Type of Fuel: : \_\_\_\_\_

Engine Use: Emergency Engine or Low-Use Engine (less than 200 hours per year)

I, \_\_\_\_\_, certify that I will be in compliance with all applicable District Rules and Regulations and the following conditions:  
(Print or type name)

1. If designated as an Emergency Engine in the above equipment description, the engine shall be operated exclusively in emergency applications except for up to 50 hours per year for maintenance and testing. If designated as a Low-Use Engine in the above equipment description, the engine shall be operated 200 hours or less each calendar year. (17CCR 93116)
2. Emissions from each registered engine shall not exceed 100 pounds of oxides of nitrogen (NOx) during any one day. [Rule 12.1(d)(1)]
3. An engine or equipment unit shall be configured and operated so as to meet the definition of a portable emission unit as defined in Rule 12.1. An engine's and/or equipment unit's certificate of registration shall be invalid when such equipment is used as an integral part of the operation of a stationary source or to supplement or expand the stationary source's operation. [Rule 12.1(b)]
4. Portable registered engines shall not be used to provide primary or supplemental power to a building, facility, stationary source, or stationary equipment, except during the following:
  - (i) unforeseen interruptions of electrical power from the serving utility;
  - (ii) maintenance and repair operations, including maintenance of stationary backup generators that have not experienced an engine failure;
  - (iii) electrical upgrade operations including startup, shutdown, and testing that do not exceed 90 calendar days, or a longer period as authorized in writing by the District; or
  - (iv) upon approval from the District, engine failure of a stationary backup generator permitted by the District under the following conditions:
    - (A) the holder of the permit for the stationary engine notifies the District of the engine failure within 72 hours from the time the engine failure is discovered;
    - (B) the temporary replacement engine has the same or lower mass per unit time emission rate;
    - (C) the temporary replacement engine complies with all applicable requirements on the permit for the existing stationary engine; and
    - (D) the temporary replacement engine operates for no more than 180 days, or a longer period as authorized in writing by the District.
5. This engine shall only use CARB diesel fuel. [Rules 12 or 12.1 or 69.4 or 69.4.1 or 17 CCR 93115 or 17 CCR 93116 or 40 CFR 60 subpart III]
6. Visible emissions including crank case smoke shall comply with Rule 50. (Rule 50)
7. The equipment described above shall not cause or contribute to a public nuisance. (Rule 51)
8. A non-resettable engine hour meter shall be installed on this engine, maintained in good working order, and used for recording engine operating hours. If a meter is replaced, the Air Pollution Control District's compliance division shall be notified in writing within 10 calendar days. The written notification shall include the following information:
  - a. Old meter's hour reading;
  - b. Replacement meter's manufacturer name, model and serial number if available and current hour reading on replacement meter;
  - c. Copy of receipt of new meter or of installation work order. A copy of the meter replacement notification shall be maintained onsite and made available to the Air Pollution Control District upon request. (Rule 12, 12.1, 69.4.1, 17 CCR 93116)

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9. The owner or operator of a registered emission unit shall maintain the applicable records listed below. The records shall be maintained at a central place of business for a minimum of three years and made readily available to the District upon request.
  - (1) The location(s) at which the emission unit was operated, including the dates operated at each location.
  - (2) The quantity of fuel consumed by each engine, or the daily hours of operation for each engine.
  - (3) For engines, the specific location where the engine is located shall be recorded no less than once a month.[Rule 12.1(g)]
10. The owner of a registered rental emission unit shall provide the operator with a written copy of applicable requirements of District Rule 12.1, including record keeping requirements, as a part of the emission unit rental agreement. The owner must maintain written acknowledgment by the operator of receiving the above information for a period of at least three years.
11. The operator of a registered rental emission unit shall be responsible for complying with the record keeping requirements of this certificate of registration. The operator must furnish the necessary record keeping as required by this certificate of registration to the owner of the emission unit.
12. In the event that a portable emission unit is not portable the holder of this certificate of registration shall submit an application for a Permit to Operate to the District.
13. This engine shall be certified to meet the Federal or California tiered emission standard for non-road engines as shown in the equipment description above. (17 CCR 93116)
14. The Responsible Official of a fleet that includes low use engines shall provide the following reports to the California Air Resources Board (CARB) by March 1 of each calendar year: permit or registration number of each engine; hour meter readings at the beginning and end of the previous calendar year for each engine. (17 CCR 93116)
15. The Responsible Official must identify to the CARB Executive Officer the following, as part of each compliance report for large fleets required by 93116.4(d)(2):
  - a. The registration number for each portable diesel-fueled engines used exclusively in emergency applications.
  - b. The serial number, year of manufacture, and district permit or registration number for each diesel-fueled engines excluded from the fleet because of operation outside of California or only within the OCS.If compliance with the fleet average includes the use of electrification, the Responsible Official must provide documentation supporting the credit claimed for electrification.  
(17 CCR 93116.4)
16. The Responsible Official of a fleet shall maintain on a calendar year basis a record of the total hours of operation for each portable engine subject to recordkeeping under 17 CCR 93116.4(c) if the fleet includes alternative fueled engines, engines affected by electrification, low-use engines or engines used exclusively in emergency applications. These records shall be maintained at a central place of business for five years from their date of creation. The records shall clearly identify each portable engine subject to the recordkeeping requirement as well as the annual hours of operation. These records shall be made available, upon request, to the District or CARB personnel and provided within ten business days of the request. (17 CCR 93116.4)
17. As of November 30, 2018, no person shall sell or offer for sale to an end user in California a non-certified portable diesel fueled engine and no person shall sell to an end user in California any certified engine after the dates specified in 17 CCR 93116.3(e). The sale of engines for resale outside of California is not prohibited. [17 CCR 93116]
18. Any person selling a certified engine subject to this regulation in California must provide the following disclosure in writing to the buyer as part of the sales transaction: When operated in California, any portable diesel engine may be subject to the California Air Resources Board Airborne Toxic Control Measure For Diesel Particulate Matter From Portable Engines Rated At 50 Horsepower And Greater. It therefore could be subject to retrofit or accelerated turnover requirements to reduce emissions of air pollutants. For more information, please visit the California Air Resources Board website at <http://www.arb.ca.gov/portable/portable.htm>. [17 CCR 93116]
19. Operating a registered emission unit constitutes acceptance of all conditions specified on the Certificate. [Rule 12.1(e)(3)]

An owner or operator whose emission unit has been issued a Certificate shall:

Comply with all conditions listed on the Certificate;

Renew the Certificate annually pursuant to Rule 12.1(f)(1);

Maintain records, as applicable, in accordance with the requirements of Rule 12.1(g);

Display the current Certificate or a copy of the current Certificate in a clearly visible and accessible place within 25 feet of the emission unit. If the unit is so constructed or operated that the Certificate cannot be so placed, it shall be kept at the operating location and be made readily available to the District at all times;

Not willfully deface, alter, forge, counterfeit or falsify any Certificate issued under this rule; and

Not change the equipment from that set out in the Certificate or Registration unless a complete application for modification has been filed and approved by the Air Pollution Control Officer prior to operation. [Rule 12.1(e)(4)]
20. Access, facilities, utilities and any necessary safety equipment for source testing and inspection shall be provided upon request of the Air Pollution Control District.
21. This Air Pollution Control District Permit does not relieve the holder from obtaining permits or authorizations required by other governmental agencies.
22. The permittee shall, upon determination of applicability and written notification by the District, comply with all applicable requirements of the Air Toxics "Hot Spots" Information and Assessment Act (California Health and Safety Code Section 44300 et seq.)

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_ Affiliation: \_\_\_\_\_

**CERTIFICATE OF REGISTRATION (FIRST YEAR)**

A copy of this registration certificate must be kept with the equipment and presented upon request to the Air Pollution Control District. Every person who operates this equipment is required to comply with all Air Pollution Control District Rules and Regulations, including Rule 12. Any person who violates a rule or regulation of the Air Pollution Control District or any of the conditions listed above is guilty of a misdemeanor and may be subject to civil and criminal penalties. To remain valid, this certificate must be renewed annually in accordance with District Rule 40.

Approved by: \_\_\_\_\_  
(APCD Engineer's Signature)

Date: \_\_\_\_\_